Edgar Filing: Wallach Matthew J - Form 4

Wallach Ma Form 4	atthew J										
November	16, 2018										
FORM	14 UNITED STATE	SSECURITIES	AND FX	СН	NGE CO	MMISSION		PROVAL			
		Washington			OMB Number:	3235-0287					
Check t if no lor subject Section Form 4 Form 5	nger to STATEMENT (16. or	SECU	RITIES			Expires: Estimated a burden hour response					
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type	Responses)										
1. Name and Wallach M	Address of Reporting Person * atthew J	Symbol	Issuer A SYSTEMS INC [VEEV]					f Reporting Person(s) to			
(Last)	(First) (Middle)	3. Date of Earliest		-		(Check	all applicable)			
C/O VEEV HACIEND	7A SYSTEMS INC., 4280 DA DRIVE	(Month/Day/Year) 11/15/2018						ive title Other (specify below) President			
PI FASAN	(Street)	4. If Amendment, I Filed(Month/Day/Ye	Month/Day/Year) Applicable Line) _X_ Form filed by (Dint/Group Filing(Check One Reporting Person More than One Reporting			
PLEASANTON, CA 94588 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Dee (Month/Day/Year) Execution any	ned 3.	4. Securit foror Dispos (Instr. 3,	ties Ac sed of	cquired (A) (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
		Code V	Amount		Price	(Instr. 3 and 4)					
Class A Common Stock	11/15/2018 <u>(1)</u>	С	12,000	А	\$ 0	12,000	D				
Class A Common Stock	11/15/2018	S <u>(2)</u>	1,700	D	\$ 88.9113 (3)	10,300	D				
Class A Common Stock	11/15/2018	<u>S(2)</u>	2,900	D	\$ 89.8974 (4)	7,400	D				
Class A Common	11/15/2018	S <u>(2)</u>	2,600	D	\$ 90.6337	4,800	D				

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Stock					(5)		
Class A Common Stock	11/15/2018	S <u>(2)</u>	4,800	D	\$ 91.9047 (6)	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Derivative Securities (A) or Dis (D)	Securities Acquired (Month/Day/Year) (A) or Disposed of		ate	7. Title and Amount Underlying Securiti (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Stock Option (right to buy)	\$ 3.92	11/15/2018 <u>(1)</u>		М		12,000	(7)	03/09/2023	Class B Common Stock	12,0
Class B Common Stock	<u>(8)</u>	11/15/2018 <u>(1)</u>		A	12,000		(8)	(8)	Class A Common Stock	12,0
Class B Common Stock	<u>(8)</u>	11/15/2018 <u>(1)</u>		С		12,000	(8)	(8)	Class A Common Stock	12,0

Reporting Owners

Reporting Owner Name / Address	Relationships						
L O	Director	10% Owner	Officer	Other			
Wallach Matthew J							
C/O VEEVA SYSTEMS INC.			President				
4280 HACIENDA DRIVE			Flesidelit				
PLEASANTON, CA 94588							

Signatures

(5)

/s/ Meaghan S. Nelson, attorney-in-fact

11/16/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction exempt from Section 16(b) of the Securities Exchange Act of 1934 (the "Act") pursuant to Rule 16b-6(b) promulgated under the Act.
- (2) The sales reported on this Form 4 were effected pursuant to Rule 10b5-1 trading plans adopted by the Reporting Person.

(3) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from
 (3) \$88.2100 to \$89.1600 per share, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(4) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$89.3600 to \$90.3300 per share, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$90.4000 to \$91.3700 per share, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(6) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$91.4700 to \$92.4600 per share, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(7) The option shares are fully vested and may be exercised at any time.

Each share of Class B Common Stock is convertible, at any time at the option of the holder, into one (1) share of Class A Common Stock and has no expiration date. In addition, each share of Class B Common Stock will convert automatically into one (1) share of Class A Common Stock upon any transfer, whether or not for value, which occurs after the closing of the IPO, except for certain

- (8) permitted transfers described in, and transfers to any "permitted transferee" as defined in, the Issuer's restated certificate of incorporation. All shares of Class A and Class B Common Stock will convert automatically into shares of a single class of Common Stock upon the earliest to occur of the following: (a) upon the election by the holders of a majority of the then outstanding shares of Class B Common Stock or (b) October 15, 2023.
- (9) Represents 207,650 shares of Class B Common Stock held by the Reporting Person and Cristina Wallach as joint tenants with right of survivorship.
- (10) Represents 195,650 shares of Class B Common Stock held by the Reporting Person and Cristina Wallach as joint tenants with right of survivorship.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.