McGahan Keith M Form 4 June 19, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB

**OMB APPROVAL** 

Number:

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

McGahan Keith M

**SPECTRUM** 

PHARMACEUTICALS INC [SPPI]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title \_ Other (specify

(Month/Day/Year)

11500 S. EASTERN AVE., SUITE

06/18/2018

below) SVP and Chief Legal Officer

240

(Street)

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

HENDERSON, NV 89052

(City)	(State)	Z1p) ,	Table 1	I - Non-D	erivative Securities Acq	quired, Disposed o	f, or Beneficial	ly Owned
1.Title of	2. Transaction Date	2A. Deemed		3.	4. Securities Acquired	5. Amount of	6. Ownership	7. Nature o
Ci4	(M. 41/D. /M.)	E . D.		т	(A) D' 1 C	G	E D. 4	T 1' 4

1.Title of	2. Transaction Date	2A. Deemed
Security	(Month/Day/Year)	Execution Date, i
(Instr. 3)		any
		(Month/Day/Year

Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

e of Securities Form: Direct Indirect Beneficially (D) or Beneficial Ownership Owned Indirect (I) Following (Instr. 4) (Instr. 4) Reported

Transaction(s)

(Instr. 3 and 4) Price

Code V Amount (D)

Common

Stock, 06/18/2018 \$0.001 par value

45,000 \$0 106,393 (1)

(A)

D

Common

Stock, By 401(k) 896 \$0.001 par plan

value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: McGahan Keith M - Form 4

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 19.95	06/18/2018		A	110,000	(2)	06/18/2028	Common Stock	110,000

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

McGahan Keith M 11500 S. EASTERN AVE. SUITE 240 HENDERSON, NV 89052

SVP and Chief Legal Officer

# **Signatures**

/s/ Kurt A. Gustafson, attorney-in-fact for Keith M. McGahan

06/19/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One third of the aggregate amount of restricted shares shall vest on each of June 18, 2019, 2020 and 2021, respectively.
- (2) 25% of the option shares vested on June 18, 2018. The remaining option shares shall vest in 25% equal annual amounts on each anniversary date of the grant over three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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