Edgar Filing: Waghray Ajay - Form 4

Waghray Aja	ay										
Form 4											
March 13, 20	018										
FORM	14								OMB AF	PROVAL	
	UNITEL) STATES		RITIES A shington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check th if no long									Expires:	January 31,	
subject to		MENT OI	F CHAN		GES IN BENEFICIAL OWNERSH					2005 average	
	ection 16.				SECURITIES				Estimated average burden hours per		
Form 4 o								response 0.5			
Form 5 obligatio	n o -						-	e Act of 1934,			
may cont				•	•	· ·		1935 or Section	1		
See Instruction 1(b).	uction	30(h)	of the In	vestment	Compan	y Ac	t of 194	-0			
)										
(Print or Type I	(xesponses)										
1. Name and A	ddress of Reporting	g Person <u>*</u>	2. Issuer	r Name and	Ticker or	Tradiı	ng	5. Relationship of	Reporting Pers	son(s) to	
Waghray Ajay Symbol				-				Issuer			
									. 11 1. 1.1	`	
				of Earliest Transaction				(Check all applicable)			
() () () ())))))))))) ())) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ())) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ())) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ())) ()))) ())))))))))))))))))))				/Day/Year)				Director 10% Owner			
C/O ASSURANT, INC., 28 03/09/20				-				XOfficer (give below)		Other (specify below)	
LIBERTY S	STREET, 41ST	FL.							EVP, CTO		
	(Street)		4. If Ame	ndment, Da	te Origina	1		6. Individual or Jo	int/Group Filin	g(Check	
				Month/Day/Year)				Applicable Line)			
								_X_Form filed by C			
NEW YOR	K, NY 10005							Form filed by M Person	lore than One Re	porung	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction Da	te 2A. Deen	ned	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year) Execution	n Date, if	Transactio		-		Securities	Form: Direct		
(Instr. 3)		any (Month/F	Day/Voor)	Code (Instr. 3, 4 and 5) (Instr. 8)				Beneficially		Beneficial Ownership	
		(Month/E	Jay/ I cal)	(Instr. 8)				Owned Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported			
						(A) or		Transaction(s)			
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	03/09/2018			F	314	D	\$ 90.81	15,586.826 (1) (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Waghray Ajay C/O ASSURANT, INC. 28 LIBERTY STREET, 41ST FL. NEW YORK, NY 10005			EVP, CTO					
Signatures								
Lisa Richter Attorney-in-Fact	03/13/201	8						

Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes restricted stock units.
- (2) Includes 61.978 shares and 57.848 shares acquired under the Assurant, Inc. Amended and Restated 2004 Employee Stock Purchase Plan ("ESPP") on June 30 and December 29, 2017, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.