SUBIN NEIL S Form 3 January 22, 2018

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

owned directly or indirectly.

1. Name and Address of Reporting Person *  SUBIN NEIL S		2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol INTERMOLECULAR INC [IMI]					
(Last) (First)	(Middle)	01/12/2018	4. Relationsh Person(s) to l	ip of Reporting	5. If Amendment, Date Original Filed(Month/Day/Year)			
3300 SOUTH DIXI HIGHWAY, SUIT			(Check	all applicable)	` , , , , , , , , , , , , , , , , , , ,			
(Street) WEST PALM			Director Officer (give title belo	Othe	r Filing(Check Applicable Line)			
BEACH, FL 334	105				Reporting Person			
(City) (State)	(Zip)	Table I - I	Non-Deriva	tive Securit	ies Beneficially Owned			
1.Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)		4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Common Stock		320,136 <u>(1</u>	1) (2)	I	By Trust A-3 - Lloyd I. Miller			
Common Stock		1,471,716	(1) (2)	I	By LIM III - Trust A-4			
Common Stock		1,471,716	(1) (2)	I	By MBM - Trust A-4			
Common Stock		49,318 <u>(1)</u>	(2)	I	By LIMFAM LLC			
Common Stock		70,368 (1)	(2)	I	By Lloyd I. Miller, III Revocable Trust			
Common Stock		14,149 (1)	(2)	I	By Milfam I L.P.			
Common Stock		1,780,911	(1) (2)	I	By Milfam II L.P.			
Reminder: Report on a se	*	ach class of securities benefic	cially	SEC 1473 (7-02	2)			

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
The state of the s	Director	10% Owner	Officer	Other		
SUBIN NEIL S						
3300 SOUTH DIXIE HIGHWAY	Â	ÂΧ	â	Â		
SUITE 1-365	A	АЛ	А	Α		
WEST PALM BEACH, FL 33405						

## **Signatures**

/s/ Paul N. Silverstein
Attorney-in-fact
01/22/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Except for possessing voting and dispositive power, the reporting person disclaims any other beneficial ownership of, and specifically any pecuniary interest in, the securities reported herein. This filing is not, and shall not be deemed, an admission (and the reporting person expressly disclaims) that the reporting person is, for purposes of Rule 16a-1(a)(2) under Section 16 of the Securities Exchange Act of 1934, the beneficial owner of any equity securities covered by this filing.
- Mr. Neil Subin has succeeded to the position of President and Manager of MILFAM LLC, which serves as manager, general partner, or investment advisor of a number of entities formerly managed or advised by the late Lloyd I. Miller, III. Mr. Subin also serves as trustee of a number of Miller family trusts. There has been no acquisition transaction by the reporting person with respect to the securities reported herein within the meaning of Section 16 of the Securities Exchange Act.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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