

Yawman David  
Form 3  
November 03, 2017

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Yawman David

(Last) (First) (Middle)

PEPSICO, INC., 700  
ANDERSON HILL ROAD

(Street)

PURCHASE, NY 10577

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

10/27/2017

3. Issuer Name and Ticker or Trading Symbol  
PEPSICO INC [PEP]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
EVP Gov Affairs, GC & Corp Sec

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

PepsiCo, Inc. Common Stock

34,812 <sup>(1)</sup>

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)  
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

## Edgar Filing: Yawman David - Form 3

|                                         | Date<br>Exercisable | Expiration<br>Date |                                     | Amount or<br>Number of<br>Shares |         | or Indirect<br>(I)<br>(Instr. 5) |   |
|-----------------------------------------|---------------------|--------------------|-------------------------------------|----------------------------------|---------|----------------------------------|---|
| Employee Stock Option<br>(right to buy) | Â (2)               | 02/28/2019         | PepsiCo,<br>Inc.<br>Common<br>Stock | 14,759                           | \$ 30.5 | D                                | Â |
| Employee Stock Option<br>(right to buy) | 04/12/2013          | 04/11/2020         | PepsiCo,<br>Inc.<br>Common<br>Stock | 25,263                           | \$ 66.5 | D                                | Â |

## Reporting Owners

| Reporting Owner Name / Address                                              | Relationships |           |                                  |  | Other |
|-----------------------------------------------------------------------------|---------------|-----------|----------------------------------|--|-------|
|                                                                             | Director      | 10% Owner | Officer                          |  |       |
| Yawman David<br>PEPSICO, INC., 700 ANDERSON HILL ROAD<br>PURCHASE, NY 10577 | Â             | Â         | Â EVP Gov Affairs, GC & Corp Sec |  | Â     |

## Signatures

/s/ David  
Yawman

11/03/2017

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- This number includes: 1) 25,460 restricted stock units ("RSUs") granted as a portion of the reporting person's compensation from PepsiCo, Inc. (these RSUs are calculated on a one unit for one share basis; these RSUs vest at various dates through March 1, 2020; vesting of all RSUs is contingent upon the reporting person's continued employment with the company); 2) 5,352 performance-based restricted stock units ("PSUs") granted as a portion of the reporting person's compensation from PepsiCo, Inc. (these PSUs vest at various dates through March 1, 2020 contingent upon the achievement of pre-established performance targets over a three-year performance period and Compensation Committee approval; the reporting person may receive a number of shares of PepsiCo Common Stock from 0% to 175% of the PSUs granted, depending on the performance level achieved); and 3) 4,000 shares of PepsiCo Common Stock held in a personal brokerage account.
- (1)
- (2) The option became exercisable in three equal annual installments beginning March 1, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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