Edgar Filing: Intercontinental Exchange, Inc. - Form 4

Intercontinental Exchange, Inc. Form 4 January 20, 2017

Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1. Name and Address of Reporting Person * Hill Scott A Symbol Hill Scott A Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * Hill Scott A Symbol Intercontinental Exchange, Inc. [ICE] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 5660 NEW NORTHSIDE DRIVE (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) ATLANTA GA 30328	35-0287 Jary 31, 2005 Je			
Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Expires: Jan Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b). State Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 State of Reporting Person. State of Reporting Person(s) Issuer 1. Name and Address of Reporting Person. 2. Issuer Name and Ticker or Trading Symbol State of Earliest Transaction (Month/Day/Year) State of Earliest Transaction (Month/Day/Year) State of Earliest Transaction (Month/Day/Year) Intercort Lie Officer (give tite below) Director Check all applicable) 65660 NEW NORTHSIDE DRIVE 01/18/2017 ATI ANTA GA 30328 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Che below)	uary 31, 2005 Ie			
if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: Curl Estimated average burden hours per response Form 4 or Form 5 obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 	2005 Ie			
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting Person ⁺ 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) Intercontinental Exchange, Inc. [ICE] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)				
1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) Issuer Hill Scott A Symbol Intercontinental Exchange, Inc. [ICE] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) 5660 NEW NORTHSIDE DRIVE 01/18/2017 — Director10% Other (spectroper) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) ATLANTA GA 30328 — ATLANTA GA 30328 —				
Hill Scott A Symbol Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) 5660 NEW NORTHSIDE DRIVE 3. Date of Earliest Transaction				
(Last) (First) (Middle) 3. Date of Earliest Transaction (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 5660 NEW NORTHSIDE DRIVE 01/18/2017 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Che (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Che ATLANTA GA 30328 Form filed by One Reporting Person	Ö			
5660 NEW NORTHSIDE DRIVE (Month/Day/Year)				
Filed(Month/Day/Year) Applicable Line) _X_Form filed by One Reporting Person	\underline{X} Officer (give title \underline{W} Other (specify below)			
Demon				
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Ow	ned			
1.Title of Security 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nat Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indire (Instr. 3) any Code Disposed of (D) Beneficially (D) or Indirect Beneficially (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (I) Owned (Month/Day/Year) Code V Amount (D) rinsaction(s) (Instr. 4) (Instr. 4)	ct icial rship			
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 14 information contained in this form are not required to respond unless the form displays a currently valid OMB control				

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

Edgar Filing: Intercontinental Exchange, Inc. - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.		Acquired (or Dispose (D) (Instr. 3, 4, and 5)	d of				
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy) Holding	\$ 57.31	01/18/2017		A		63,870		<u>(1)</u>	01/18/2027	Common Stock	63,870

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Hill Scott A 5660 NEW NORTHSIDE DRIVE ATLANTA, GA 30328			Chief Financial Officer					
Signatures								
/s/Andrew J. Surdykowski, Attorney-in-fact		01/20/20	01/20/2017					
**Signature of Reporting Person		Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options vest in accordance with the following schedule: 33.33% of the options vest on January 18, 2018, 33.33% of the option vest on January 18, 2019 and 33.33% of the option vest on January 18, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.