Edgar Filing: PERRY ELLIS INTERNATIONAL, INC - Form 4

PERRY ELLIS INTERNATIONAL, INC

Form 4

December 22, 2016

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL OMB

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if no longer subject to Section 16. Form 4 or

Check this box

SECURITIES Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Voith John F. Issuer Symbol PERRY ELLIS INTERNATIONAL, (Check all applicable) INC [PERY] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify _X__ Officer (give title (Month/Day/Year) below) 3000 N.W. 107TH AVENUE 12/20/2016 President, Golf Division (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting **MIAMI, FL 33172** Person

(City)	(State)	(Zip) Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securion(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	12/20/2016		M	9,696 (1)	A	\$ 18.19	46,493 (2)	D	
Common Stock	12/20/2016		F	7,581 (3)	D	\$ 26	38,912 <u>(2)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Sector Acquired (A) of Disp (D)	or cosed of er. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Stock Appreciation Right	\$ 18.19	12/20/2016		M		9,696	03/19/2013	03/18/2019	Common Stock	9,690
Stock Appreciation Right	\$ 28.38						04/11/2012	04/10/2018	Common Stock	6,26
Stock Appreciation Right	\$ 24.93						04/19/2011	04/18/2017	Common Stock	7,640

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Voith John F.

3000 N.W. 107TH AVENUE President, Golf Division

MIAMI, FL 33172

Signatures

/s/ Cory Shade by Power of

Attorney 12/22/2016

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the acquisition of shares underlying a Stock Appreciation Right.

Includes (i) 3,819 restricted shares granted under the 2015 Long-Term Incentive Compensation Plan (the "Plan"), successor to the Second Amended and Restated 2005 Long-Term Incentive Compensation Plan (the "2005 Plan"), which vest over three years beginning April 20, 2017; (ii) 15,275 performance shares granted under the Plan, which vest up to 100% if certain performance goals are met and the

Reporting Person is employed by the Company on the last day of fiscal 2019 (and the Reporting Person may be entitled to additional performance shares if the Company exceeds the performance goals); (iii) 3,116 restricted shares granted under the 2005 Plan, which vest over two years beginning April 22, 2017; and (iv) 3,926 restricted shares granted under the 2005 Plan, which vest on April 28, 2017.

Reporting Owners 2

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(3) Represents (i) the disposition of 6,784 shares underlying a Stock Appreciation Right as payment of the exercise price and (ii) the withholding of 797 shares to pay taxes resulting from exercise of a Stock Appreciation Right.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.