Edgar Filing: PERRY ELLIS INTERNATIONAL, INC - Form 4

PERRY ELLIS INTERNATIONAL, INC

Form 4

Common

Common

Stock

Stock

November 30, 2016

November 30								
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION						OMB APPROVAL		
Washington, D.C. 20549							3235-0287	
Check thi		, , , , ,				Number: Expires:	January 31,	
if no long subject to		NT OF CHAN	GES IN BENEFIC	CIAL OW	NERSHIP OF	Estimated a	2005 average	
Section 1	Section 16. SECURITIES						irs per	
Form 4 or	Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,							
obligation	Section 17(a)		ility Holding Comp	_		n		
may conti See Instru	inue.		vestment Company	•				
1(b).	etion	,	1 2					
(Print or Type R	Responses)							
EEL DENUMBERG OGGAD			Name and Ticker or Tr	rading	5. Relationship of Reporting Person(s) to Issuer			
LEDENKI	CLIS OSCAIC	Symbol PFRRY	ELLIS INTERNAT	ΓΙΟΝΔΙ	(Check all applicable)			
		INC [PE		HONAL,				
(Last)	(First) (Midd		3. Date of Earliest Transaction			X DirectorX 10% OwnerX Officer (give title Other (specify		
3000 N.W. 1	107TH AVENUE	(Month/D 11/29/20	•	below) below)				
3000 N.W. 107TH AVENUE 1)10	CEO and President				
			ndment, Date Original	6. Individual or Joint/Group Filing(Check				
		Filed(Mon	th/Day/Year)		Applicable Line) _X_ Form filed by 0	One Reporting Pe	erson	
MIAMI, FL	33172				Form filed by M Person	More than One Re	eporting	
(City)	(State) (Zip	p) Table	e I - Non-Derivative Se	curities Acc	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of	2. Transaction Date 2		3. 4. Securitie		5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year) Execution		TransactionAcquired (Code Disposed of	Securities Panaficially	Form: Direct (D) or	Indirect Beneficial		
(Instr. 3)		any (Month/Day/Year)	(Instr. 8) (Instr. 3, 4		Beneficially Owned	Indirect (I)	Ownership	
					Following	(Instr. 4)	(Instr. 4)	
				(A)	Reported Transaction(s)			
			Code V Amount	or (D) Price	(Instr. 3 and 4)			
Common	11/29/2016			D \$0	919,235 (1)	I	See	
Stock	11/29/2010		G v 8,000	D \$0	919,233 <u>(~</u>	1	Footnote	
Common					129 540 (2)	D		
Stock					128,540 <u>(2)</u>	D		
Common					50,000 (3)	т	See	
Stock					50,000 (3)	I	Footnote	

See

See

Footnote

Footnote

50,000 (4)

50,000 (5)

I

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. DiNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 28.38					04/11/2012	04/10/2018	Common Stock	57,894
Stock Appreciation Right	\$ 24.93					04/19/2011	04/18/2017	Common Stock	70,674

Reporting Owners

Reporting Owner Name / Address	Relationships						
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other			
FELDENKREIS OSCAR 3000 N.W. 107TH AVENUE MIAMI, FL 33172	X	X	CEO and President				

Signatures

/s/ Cory Shade by Power of Attorney

11/30/2016 Date

**Signature of Reporting Person Date
Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Owned by the Oscar Feldenkreis Revocable Trust UAD 05/06/11 (the "Trust"), of which the Reporting Person is Trustee.

(2)

Reporting Owners 2

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Includes (i) 22,166 restricted shares granted under the 2015 Long-Term Incentive Compensation Plan (the "Plan"), successor to the Second Amended and Restated 2005 Long-Term Incentive Compensation Plan (the "2005 Plan"), which vest over three years beginning April 20, 2017; (ii) 44,333 performance shares granted under the Plan, which vest up to 100% if certain performance goals are met and the Reporting Person is employed by the Company on the last day of fiscal 2019 (and the Reporting Person may be entitled to additional performance shares if the Company exceeds the performance goals); (iii) 9,880 restricted shares granted under the 2005 Plan, which vest over two years beginning April 22, 2017; and (iv) 20,750 restricted shares granted under the 2005 Plan, which vest on April 28, 2017.

- (3) Owned by the Erica Feldenkreis 2012 Irrevocable Trust UAD 10/17/12, of which the Reporting Person's spouse is the Trustee.
- (4) Owned by the Jennifer Feldenkreis 2012 Irrevocable Trust UAD 10/17/12, of which the Reporting Person's spouse is the Trustee.
- (5) Owned by the Stephanie Feldenkreis 2012 Irrevocable Trust UAD 10/17/12, of which the Reporting Person's spouse is the Trustee. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays

a currently valid OMB number.