## Edgar Filing: BIOTIME INC - Form 4

DIOTHAT INC

Form 4									
November 1								OMB A	PPROVAL
FORM		RITIES A	N OMB Number:	3235-0287					
Check t if no lor subject Section Form 4 Form 5 obligati may con <i>See</i> Inst 1(b).	nger to 16. or Filed pur ons ntinue.		<b>F CHAN</b> Section	Estimated burden hou response	ours per				
(Print or Type	Responses)								
1. Name and KNIGHT J	Address of Reporting AMES A.	Person <u>*</u>	Symbol	er Name <b>an</b> ME INC		Trading	5. Relationship o Issuer	of Reporting Per eck all applicabl	
(Last) (First) (Middle) 1010 ATLANTIC AVENUE, SUITE 102			3. Date of Earliest Transaction (Month/Day/Year) 10/19/2016			Director 10% Owner X Officer (give title Other (specify below) below) Sr VP, Head of Corporate Dev			
(Street) ALAMEDA, CA 94501			Filed(Month/Day/Year) Applicable L _X_Form fil			Applicable Line) _X_ Form filed by Form filed by	r Joint/Group Filing(Check ) by One Reporting Person by More than One Reporting		
(City)	(State)	(Zip)	Tab	ole I - Non-J	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	ed Date, if	3. Transactic Code (Instr. 8)	4. Securit mAcquired Disposed (Instr. 3, 4)	ies (A) or of (D)	5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect
Reminder: Re	port on a separate line	e for each cl	ass of sec	urities bene	Perso inform requir	ns who res nation cont red to resp nys a curre	or indirectly. spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

## Edgar Filing: BIOTIME INC - Form 4

(Instr. 3)	Price of Derivative Security	(Month/Day/Yea	r) (Instr. 8)	Acquired (A Disposed of (Instr. 3, 4, 4 5)	f (D)				
			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Option to Purchase Common Shares	\$ 3.49	10/19/2016	А	300,000		(1)	10/18/2026	Common Shares	300,000

## **Reporting Owners**

Reporting Owner Name / Addre	Relationships						
	Director	10% Owner	Officer	Other			
KNIGHT JAMES A. 1010 ATLANTIC AVENUE SUITE 102 ALAMEDA, CA 94501	2		Sr VP, Head of Corporate Dev				
Signatures							
/s/James A. Knight	11/18/2016						

\*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

One quarter of the options shall vest on October 18, 2017, subject to the completion of 12 months of continuous employment from the date of grant on October 19, 2016, and the balance shall vest in 36 monthly installments upon the completion of each month of continuous employment from the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.