Edgar Filing: Financial Engines, Inc. - Form 4

Financial En Form 4 May 24, 201	-										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								т			
UNITED STATES SECURITIES AND EXCHANGE COMM Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).						NERSHIP OF ge Act of 1934, f 1935 or Sectio	Number: Expires: Estimated a burden hou response	irs per			
(Print or Type F	Responses)										
1. Name and Address of Reporting Person <u>*</u> HURET ROBERT A			2. Issuer Name and Ticker or Trading Symbol Financial Engines, Inc. [FNGN]				-	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M		3. Date of Earliest Transaction (Check				ck all applicable				
1050 ENTERPRISE WAY, 3RD FLOOR			05/21/2016 —				X_ Director Officer (give below)	Officer (give title Other (specify			
				4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person 			
SUNNYVA	LE, CA 94089							Form filed by Person	More than One Ro	eporting	
(City)	(State)	(Zip)	Table	I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	curity (Month/Day/Year) Execution Date, if TransactionAcqu str. 3) any Code Dispo (Month/Day/Year) (Instr. 8) (Instr		onAcquired Disposed (Instr. 3,	Disposed of (D) (Instr. 3, 4 and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common	05/21/2016	05/21/20	016	Code V M	Amount 1,254	(D) A	Price \$ 0	11,231	D		
Stock	00/21/2010	0572172	010	141	1,237	1	ψυ	11,201	D		
Common Stock	05/22/2016	05/22/20	016	М	1,250	А	\$0	12,481	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 <u>(1)</u>	05/21/2016	05/21/2016	М	1,254	(2)	(3)	Common Stock	1,254	\$
Restricted Stock Units	\$ 0 <u>(1)</u>	05/22/2016	05/22/2016	М	1,250	<u>(4)</u>	(3)	Common Stock	1,250	\$

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
HURET ROBERT A 1050 ENTERPRISE WAY 3RD FLOOR SUNNYVALE, CA 94089	Х						

Signatures

/s/ Jeffrey C. Grace, Attorney-in-Fact For: Robert A. Huret

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Financial Engines, Inc. common stock.
- (2) The Restricted Stock units vest in four equal installments annually beginning 5/21/15.
- (3) No expiration date.
- (4) The Restricted Stock units vest in four equal annual installments beginning 5/22/14.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

05/23/2016

Date