Edgar Filing: Financial Engines, Inc. - Form 4

Financial En Form 4 May 17, 201	-										
May 17, 2016 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Multed States Securities AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						OMB APPROVAL OMB 3235-0283 Number: January 31 Expires: January 31 2009 Estimated average burden hours per response 0.9					
(Print or Type F	Responses)										
1. Name and Address of Reporting Person <u>*</u> HURET ROBERT A			2. Issuer Name and Ticker or Trading Symbol Financial Engines, Inc. [FNGN]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N		3. Date of Earliest Transaction (Month/Day/Year)				(Che	ck all applicabl	e) 6 Owner		
1050 ENTERPRISE WAY, 3RD FLOOR			05/15/2016 <u>be</u>					Officer (give title Other (specify below) below)			
	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
SUNNYVALE, CA 94089 Person						wore than one to	cporting				
(City)	(State)	(Zip)	Table	I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	ecurity (Month/Day/Year) Executio nstr. 3) any			on Date, if Transaction Code /Day/Year) (Instr. 8)))	SecuritiesIBeneficially(OwnedI	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	05/15/2016	05/15/202	16	Code V M	Amount 1,223	(D) A	Price \$ 0	8,727	D		
Stock	00/10/2010	00/10/20	10		1,225		ψŪ	0,727	2		
Common Stock	05/16/2016	05/16/20	16	М	1,250	А	\$0	9,977	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	FransactionDerivativeCodeSecurities		(Month/Day/Year) f		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 <u>(1)</u>	05/15/2016	05/15/2016	М		1,223	(2)	(3)	Common Stock	1,223	\$
Restricted Stock Units	\$ 0 <u>(1)</u>	05/16/2016	05/16/2016	М		1,250	<u>(4)</u>	(3)	Common Stock	1,250	\$

Reporting Owners

Reporting Owner Name / Address	Relationships							
I. S.	Director	10% Owner	Officer	Other				
HURET ROBERT A 1050 ENTERPRISE WAY 3RD FLOOR SUNNYVALE, CA 94089	Х							
Signatures								
/s/ Jeffrey C. Grace, Attorney-i		05/17/2016						

Huret <u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Each restricted stock unit represents a contingent right to receive one share of Financial Engines, Inc. common stock.
- (2) The Restricted Stock units vest in four equal installments beginning 5/15/16.
- (3) No Expiration date.
- (4) The Restricted Stock units vest in four equal installments beginning 5/16/13.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.