#### Edgar Filing: PERRY ELLIS INTERNATIONAL, INC - Form 4

#### PERRY ELLIS INTERNATIONAL, INC

Form 4 May 02, 2016

### FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

Expires: January 31, 2005

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

05/02/2016

(Print or Type Responses)

1. Name and Address of Reporting Person * Shade Cory		Symbol	er Name <b>and</b> Ticker or Trading Y ELLIS INTERNATIONAL, PERY]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) 3000 N.W. 1	(First) (N	(Month/I	of Earliest Transaction Day/Year) 2016	Director 10% Owner _X Officer (give title Other (specify below)  EVP, GC and Secretary			
MIAMI, FL	(Street) 33172		endment, Date Original onth/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip) Tab	ole I - Non-Derivative Securities Ac	quired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price	Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	04/28/2016		F 468 (1) D \$ 19.38	21,330 D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

F

404 (1) D

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SEC 1474

(9-02)

20,926 (2)

D

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerci Expiration Dat (Month/Day/Y	ie e	7. Title and 2. Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 4.63					03/18/2010	03/17/2019	Common Stock	3,079
Stock Appreciation Right	\$ 18.19					03/19/2013	03/18/2019	Common Stock	4,363
Stock Appreciation Right	\$ 28.38					04/11/2012	04/10/2018	Common Stock	2,817
Stock Appreciation Right	\$ 24.93					04/19/2011	04/18/2017	Common Stock	3,438

## **Reporting Owners**

Reporting Owner Name / Address	Relationships
Reporting Owner Name / Address	

Director 10% Owner Officer Other

**Shade Cory** 

3000 N.W. 107TH AVENUE EVP, GC and Secretary

**MIAMI, FL 33172** 

## **Signatures**

/s/ Cory Shade 05/02/2016

\*\*Signature of Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to pay taxes resulting from vesting of restricted shares.

**(2)** 

Reporting Owners 2

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Includes (i) 3,303 restricted shares granted under the 2015 Long-Term Incentive Compensation Plan (the "Plan"), successor to the Second Amended and Restated 2005 Long-Term Incentive Compensation Plan (the "2005 Plan"), which vest over three years beginning April 20, 2017; (ii) 6,603 performance shares granted under the Plan, which vest up to 100% if certain performance goals are met and the Reporting Person is employed by the Company on the last day of fiscal 2019 (and the Reporting Person may be entitled to additional performance shares if the Company exceeds the performance goals); (iii) 1,664 restricted shares granted under the 2005 Plan, which vest over two years beginning April 22, 2017; and (iv) 1,767 restricted shares granted under the 2005 Plan, which vest on April 28, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.