Edgar Filing: PERRY ELLIS INTERNATIONAL, INC - Form 4

PERRY ELLIS INTERNATIONAL, INC

Form 4 April 18, 2016

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

January 31,

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

2005 Estimated average burden hours per response... 0.5

Expires:

SECURITIES Form 4 or

> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

04/15/2016

Form 5

obligations

may continue.

See Instruction

(Print or Type Responses)

1. Name and A BRITT AN	Address of Reporting I ITA D	Symbol	Y ELLIS INTERNATIONAL,	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 3000 N.W.	(First) (M	Middle) 3. Date (Month/	of Earliest Transaction /Day/Year)	Director 10% Owner _X_ Officer (give title Other (specify below) Chief Financial Officer			
MIAMI, FL	(Street)		nendment, Date Original (onth/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip) Tal	ble I - Non-Derivative Securities Ac	quired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	(A) or Code V Amount (D) Price	Securities Ownership Indirect Beneficially Form: Beneficial Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)			
Common Stock	04/14/2016		D $\frac{5,755}{(1)}$ D \$0	17,533 <u>(2)</u> D			

349 (3) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

F

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

17,184 (2)

D

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Transaction Date Month/Day/Year) A Deemed 4. 5. 6. Date Exercisable and Execution Date, if any Code of (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 18.19					03/19/2013	03/18/2019	Common Stock	7,660
Stock Option (right to buy)	\$ 4.53					03/17/2012	03/16/2019	Common Stock	5,000
Stock Appreciation Right	\$ 28.38					04/11/2012	04/10/2018	Common Stock	4,944
Stock Appreciation Right	\$ 24.93					04/19/2011	04/18/2017	Common Stock	6,036

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BRITT ANITA D

3000 N.W. 107TH AVENUE Chief Financial Officer

MIAMI, FL 33172

Signatures

/s/ Anita D. Britt 04/18/2016

**Signature of Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects the vesting in part and forfeiture in part of performance stock awarded pursuant to a grant made in April 2013 under the Perry Ellis International, Inc. Second Amended and Restated 2005 Long-Term Incentive Compensation Plan, which has been amended and

Reporting Owners 2

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restated as the 2015 Long-Term Incentive Compensation Plan (the "Plan"), which was forfeited on April 14, 2016, as the Company did not meet the applicable performance goals.

- Includes (i) 3,294 shares of restricted stock granted under the Plan, which vest in three equal annual installments commencing on April 22, 2016; (ii) 5,532 shares of restricted stock granted under the Plan, which vest in two equal annual installments commencing on April 28, 2016; and (iii) 2,339 shares of restricted stock granted under the Plan, which vest on April 30, 2016.
- (3) Represents shares withheld to pay taxes resulting from vesting of performance stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.