Edgar Filing: PERRY ELLIS INTERNATIONAL, INC - Form 4

PERRY ELLIS INTERNATIONAL, INC

Form 4 April 18, 2016

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or January 31, Expires: 2005

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and A Voith John I	ddress of Reporti	ng Person *	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			PERRY ELLIS INTERNATIONAL, INC [PERY]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	Director 10% Owner Officer (give title Other (specify			
3000 N.W. 107TH AVENUE			04/14/2016	below) below) President, Golf Division			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
MIANT FI	22170		Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
MIAMI, FL 33172				Person			
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acq	quired, Disposed of, or Beneficially Owned			
1 Title of	2 Transaction Da	ate 2A Deen	ned 3 4 Securities Acquired (A	A) 5 Amount of 6 7 Natur			

	(City)	(State)	Tab	le I - Non-l	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
	1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio			cquired (A) (D)	5. Amount of Securities	6. Ownership	7. Nature of Indirect
((Instr. 3)	•	any	Code	(Instr. 3,	4 and	5)	Beneficially	Form:	Beneficial
			(Month/Day/Year)	(Instr. 8)				Owned	Direct (D)	Ownership
								Following	or Indirect	(Instr. 4)
						(A)		Reported	(I)	
						or		Transaction(s)	(Instr. 4)	
				Code V	Amount	(D)	Price	(Instr. 3 and 4)		
	Common Stock	04/14/2016		D	8,167 (1)	D	\$ 0	24,442 (2)	D	
	Common Stock	04/15/2016		F	476 <u>(3)</u>	D	\$ 18.4701	23,966 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 18.19					03/19/2013	03/18/2019	Common Stock	9,696
Stock Appreciation Right	\$ 28.38					04/11/2012	04/10/2018	Common Stock	6,261
Stock Appreciation Right	\$ 24.93					04/19/2011	04/18/2017	Common Stock	7,640

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Voith John F.

3000 N.W. 107TH AVENUE President, Golf Division

MIAMI, FL 33172

Signatures

/s/ Cory Shade by Power of

Attorney 04/18/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects the vesting in part and forfeiture in part of performance stock awarded pursuant to a grant made in April 2013 under the Perry Ellis International, Inc. Second Amended and Restated 2005 Long-Term Incentive Compensation Plan, which has been amended and restated as the 2015 Long-Term Incentive Compensation Plan (the "Plan"), which was forfeited on April 14, 2016, as the Company did not meet the applicable performance goals.

Reporting Owners 2

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- Includes (i) 4,674 shares of restricted stock granted under the Plan, which vest in three equal annual installments commencing on April 22, 2016; (ii) 7,852 shares of restricted stock granted under the Plan, which vest in two equal annual installments commencing on April 28, 2016; and (iii) 3,320 shares of restricted stock granted under the Plan, which vest on April 30, 2016.
- (3) Represents shares withheld to pay taxes resulting from vesting of performance stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.