Edgar Filing: Financial Engines, Inc. - Form 4

Financial Engines, Inc. Form 4 February 29, 2016									
FORM 4 UNITED	shington, NGES IN	FIES AND EXCHANGE COMMISSION ington, D.C. 20549 ES IN BENEFICIAL OWNERSHIP OF SECURITIES				OMB APPROVAL OMB 3235-028 Number: January 31 Expires: January 31 2009 Estimated average burden hours per response 0.4			
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type Responses) 1. Name and Address of Reporting GRACE JEFFREY C	Symbol	2. Issuer Name and Ticker or Trading Symbol Financial Engines, Inc. [FNGN]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (1 1050 ENTERPRISE WAY, 3 FLOOR	Date of Earliest Transaction /onth/Day/Year) 2/26/2016				Director 10% Owner Officer (give titleX Other (specify below) below) Principal Accounting Officer				
(Street) SUNNYVALE, CA 94089	4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 				
(City) (State)	(Zip) Tab	ole I - Non-I	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	y Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)		3. 4. Securities Acquired e, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) Year) (Instr. 8) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of			
Common 02/26/2016 Stock	02/26/2016	Code V M	Amount 514	(D) A	Price \$ 0	16,354	D		
Common 02/26/2016 Stock	02/26/2016	F	206	D	\$ 24.59	16,148	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year)		(Instr. 3 and 4)		8. Price Derivat Securit (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 <u>(1)</u>	02/26/2016	02/26/2016	М	514	(2)	<u>(3)</u>	Common Stock	514	\$ C

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
GRACE JEFFREY C 1050 ENTERPRISE WAY 3RD FLOOR SUNNYVALE, CA 94089				Principal Accounting Officer			

Signatures

Jeffrey C. Grace 02/29/2016 **Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Financial Engines, Inc. common stock.
- (2) The restricted stock units vest in four annual installments beginning 2/26/15.
- (3) No Expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.