Edgar Filing: Financial Engines, Inc. - Form 4

Financial En Form 4	gines, Inc.										
February 22,	2016										
FORM	14								OMB AF	PROVAL	
	UNITED	STATES		ATTIES A Shington,			NGE C	OMMISSION	OMB Number:	3235-0287	
Subject to Section 16. Form 4 or			F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934,						Expires: January 31 200 Estimated average burden hours per response 0.		
obligatio may cont <i>See</i> Instru 1(b).	ns Section 17(a inue. action	a) of the I	Public Ut		ling Con	ipany	y Act of	1935 or Section	1		
(Print or Type I	(esponses)										
1. Name and Address of Reporting Person <u>*</u> Sims Raymond J.			2. Issuer Name and Ticker or Trading Symbol Financial Engines, Inc. [FNGN]					5. Relationship of Reporting Person(s) to Issuer			
								(Check all applicable) <u>X</u> Officer (give title 10% Owner (specify below) EVP, CFO & Chief Risk Officer			
(Last) (First) (Middle) 3				3. Date of Earliest Transaction							
1050 ENTERPRISE WAY, 3RD FLOOR			(Month/Day/Year) 02/18/2016								
					endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
SUNNYVA	LE, CA 94089							Form filed by M Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Transaction Date 2A. Dee Month/Day/Year) Execution any (Month/		3. Transactio Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3,	spose	d of (D)	Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	02/18/2016	02/18/2	016	М	5,673 (1)	А	\$0	13,619	D		
Common Stock	02/18/2016	02/18/2	016	F	2,321	D	\$ 26.06	11,298	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	rivative Expiration Date ities (Month/Day/Year) ired sed of 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. D So (I
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Performance Stock Units	\$ 0 <u>(2)</u>	02/18/2016	02/18/2016	М	5,673	(3)	(4)	Common Stock	5,673	

Reporting Owners

Reporting Owner Name / Address	ess						
	Director	10% Owner	Officer		Other		
Sims Raymond J. 1050 ENTERPRISE WAY 3RD FLOOR SUNNYVALE, CA 94089			EVP, CF	O & Chief Risk Officer			
Signatures							
/s/ Jeffrey C. Grace, Attorney-i Sims	in-Fact Fo	or: Raymond	J.	02/22/2016			

Sims

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- This number reflects the gross amount of shares (prior to any withholding by issuer to satisfy related tax obligations) issued to the (1) reporting person upon settlement of performance stock unit awards granted pursuant to the 2013-2017 Long Term Incentive Plan ("LTIP") previously granted to the reporting person.
- (2) Each restricted stock unit represents a contingent right to receive one share of Financial Engines, Inc. common stock.
- (3) The performance stock units vest upon the settlement of awards pursuant to the LTIP previously granted to the reporting person.
- (4) No expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.