Edgar Filing: Financial Engines, Inc. - Form 4

Financial Engines, Inc. Form 4 November 17, 2015											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL			
-	UNITED	Washington, D.C. 20549								3235-0287	
Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont See Instru 1(b).	6. Filed pur strue. Section 17(5	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section							Expires: Estimated a burden hou response n	irs per	
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> O'Donnell Kelly			2. Issuer Name and Ticker or Trading Symbol Financial Engines, Inc. [FNGN]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N	Middle) 3						ck all applicable)			
			(Month/Day/Year) 11/16/2015					Director10% Owner XOfficer (give titleOther (specify below) below) EVP Business Ops & Corp Mktg			
	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by Mars then One Reporting 					
SUNNYVALE, CA 94089 — Form filed by More than One Reporting Person											
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactic Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	11/16/2015	11/16/20	15	М	748	А	\$0	11,280	D		
Common Stock	11/16/2015	11/16/20	15	F	244	D	\$ 33.53	11,036	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Financial Engines, Inc. - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year)		(Instr. 3 and 4)		8. Price Derival Securit (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 <u>(1)</u>	11/16/2015	11/16/2015	М	748	(2)	(3)	Common Stock	748	\$ 0

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
O'Donnell Kelly 1050 ENTERPRISE WAY 3RD FLOOR SUNNYVALE, CA 94089			EVP Business Ops & Corp Mktg				
Cignotures							

Signatures

/s/ Jeffrey C. Grace, Attorney-in-Fact For: Kelly O'Donnell

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

11/17/2015

Date

- (1) Each restricted stock unit represents a contingent right to receive one share of Financial Engines, Inc. common stock.
- (2) The restricted stock units vest in four equal installments beginning 11/16/13.
- (3) No Expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.