BROWN FORMAN CORP

Form 4

September 18, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person *

Joy Catherine Frazier

2. Issuer Name and Ticker or Trading

Symbol

BROWN FORMAN CORP [BFA,

BFB]

(Middle)

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

3. Date of Earliest Transaction

(Month/Day/Year) 07/20/2015

Director Officer (give title below)

_X__ 10% Owner _ Other (specify

850 DIXIE HIGHWAY (Street)

(First)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

LOUISVILLE, KY 40210

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				red (A)	5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I)		7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)				
Class A Common	07/20/2015		W <u>(1)</u>	V	1,277,720	A	\$0	9,104,152	I	Avish Agincourt LLC			
Class A Common	07/20/2015		W <u>(1)</u>	V	2,555,440	D	\$ 0	9,104,152	I	Avish Agincourt LLC			
Class B Common	07/20/2015		W <u>(1)</u>	V	579,690	A	\$ 0	4,130,474	I	Avish Agincourt LLC			
Class B Common	07/20/2015		W <u>(1)</u>	V	1,159,381	D	\$0	4,130,474	Ι	Avish Agincourt			

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									LLC
Class A Common	09/16/2015	G	V 6	551,681	A	<u>(2)</u>	9,104,152	I	Avish Agincourt LLC
Class A Common	09/16/2015	G	V 6	551,681	D	<u>(2)</u>	9,104,152	I	Avish Agincourt LLC
Class B Common	09/16/2015	G	V 2	295,662	A	<u>(2)</u>	4,130,474	I	Avish Agincourt LLC
Class B Common	09/16/2015	G	V 2	295,662	D	<u>(2)</u>	4,130,474	I	Avish Agincourt LLC
Class A Common							190,326	D	
Class B Common							489,549	D	
Class A Common							10,000	I	Alexander Joy
Class B Common							641	I	Alexander Joy IMA
Class A Common							15,633	I	Alexander Joy UTMA
Class B Common							13,125	I	Alexander Joy UTMA
Class A Common							56,334	I	Annsley Frazier Thornton Trust
Class B Common							41,046	I	Annsley Frazier Thornton Trust
Class A Common							23,184	I	By Spouse
Class B Common							30,726	I	By Spouse
Class A Common							22,000	I	Caitlin Joy
Class B Common							641	I	Caitlin Joy IMA
							5,922	I	

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Class A Common			Caitlin Joy UTMA
Class B Common	4,893	I	Caitlin Joy UTMA
Class A Common	16,000	I	Charles Joy
Class B Common	641	I	Charles Joy IMA
Class A Common	10,548	I	Charles Joy UTMA
Class B Common	8,895	I	Charles Joy UTMA
Class A Common	5,000	I	Christopher Joy
Class B Common	641	I	Christopher Joy IMA
Class A Common	22,824	I	Christopher Joy UTMA
Class B Common	20,773	I	Christopher Joy UTMA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	.	ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Joy Catherine Frazier

850 DIXIE HIGHWAY

LOUISVILLE, KY 40210

Signatures

Kelly Bowen, Attorney in Fact for Catherine
Frazier Joy

09/18/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the division of a family trust holding units of Avish Agincourt LLC following the death of the settlor.
- On September 16, 2015, the reporting person transferred units of Avish Agincourt LLC to a grantor trust for the benefit of family members in which the reporting person retains a pecuniary interest. The reporting person received a note under the terms of the trust providing for a periodic payment similar in operation to a grantor retained annuity trust.

Remarks:

The reporting person disclaims beneficial ownership of shares held by trusts and entities set forth in this report except to the except to the

Reporting Owners 4