MINDBODY, Inc. Form 4
June 24, 2015

FORM 4

#### OMB APPROVAL

3235-0287

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OMB

Number:

response...

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average burden hours per

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(b) of the Investment Company Act of 1940

e Instruction 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Ad Brandenburg	*	rting Person *	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			MINDBODY, Inc. [MB]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			
4051 BROAI	O STREET,	SUITE 220	(Month/Day/Year) 06/24/2015	Director 10% Owner _X Officer (give title Other (specify below) Chief Product Officer		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
SAN LUIS OBISPO, CA 93401			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acq	quired, Disposed of, or Beneficially Owner		

` •	· · · · · ·	1 abi	e I - Noll-D	erivative se	curin	es Acqu	iirea, Disposea o	, or belieficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired ion(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		Beneficially (D) or			
Common Stock (1)	06/24/2015		J	Amount 303,837	(D)	Price (1)	0	I	See footnote (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: MINDBODY, Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	1 \		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amor Underlying Secur (Instr. 3 and 4)	
	security			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am Nu: Sha
Class B Common Stock (1) (3)	(3)	06/24/2015		J	303,837		(3)	(3)	Class A Common Stock	30
Employee Stock Option (right to buy)	\$ 1.392	06/24/2015		J		18,750	<u>(4)</u>	11/15/2021	Common Stock (1)	18
Employee Stock Option (right to buy)	\$ 1.392	06/24/2015		J	18,750		<u>(4)</u>	11/15/2021	Class B Common Stock (1)	18
Employee Stock Option (right to buy)	\$ 7.708	06/24/2015		J		14,750	<u>(5)</u>	06/23/2023	Common Stock (1)	14
Employee Stock Option (right to buy)	\$ 7.708	06/24/2015		J	14,750		<u>(5)</u>	06/23/2023	Class B Common Stock (1) (3)	14
Employee Stock Option (right to buy)	\$ 11.52	06/24/2015		J		12,500	<u>(6)</u>	02/06/2024	Common Stock (1)	12
Employee Stock Option (right to buy)	\$ 11.52	06/24/2015		J	12,500		<u>(6)</u>	02/06/2024	Class B Common Stock (1) (3)	12
Employee Stock Option (right to buy)	\$ 9.936	06/24/2015		J		25,000	<u>(7)</u>	05/14/2024	Common Stock (1)	2:

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Employee Stock Option (right to buy)	\$ 9.936	06/24/2015	J	25,000	<u>(7)</u>	05/14/2024	Class B Common Stock (1) (3)	2:
Employee Stock Option (right to buy)	\$ 14.476	06/24/2015	J	25,000	<u>(8)</u>	02/05/2025	Common Stock (1)	2:
Employee Stock Option (right to buy)	\$ 14.476	06/24/2015	J	25,000	<u>(8)</u>	02/05/2025	Class B Common Stock (1) (3)	2:
Employee Stock Option (right to buy)	\$ 14.496	06/24/2015	J	62,500	<u>(9)</u>	05/22/2025	Common Stock (1)	62
Employee Stock Option (right to buy)	\$ 14.496	06/24/2015	J	62,500	<u>(9)</u>	05/22/2025	Class B Common Stock (1) (3)	62

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Brandenburg Chet Ian							

4051 BROAD STREET
SUITE 220
SAN LUIS OBISPO, CA 93401

Chief Product Officer

### **Signatures**

/s/ Kimberly G. Lytikainen, Attorney-in-Fact

06/24/2015

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Immediately prior to the closing of the Issuer's initial public offering, each share of Common Stock was reclassified into one share of Class B Common Stock in an exempt transaction pursuant to Rule 16b-7.

**(2)** 

Reporting Owners 3

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The shares are held of record by the Reporting Person and the Reporting Person's spouse as trustees of the Brandenburg Family Trust dated January 9, 2014.

- (3) Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date.
- (4) 25% of the shares subject to the option vested on November 15, 2012, and 2.0833% of the shares vest monthly thereafter.
- (5) 25% of the shares subject to the option vested on June 27, 2014, and 2.0833% of the shares vest monthly thereafter.
- (6) 1/48 of the shares subject to the option vested on February 1, 2014, and 1/48 of the shares vest monthly thereafter.
- (7) 1/48 of the shares subject to the option vested on June 14, 2014, and 1/48 of the shares vest monthly thereafter.
- (8) 1/48 of the shares subject to the option vested on March 5, 2015, and 1/48 of the shares vest monthly thereafter.
- (9) 1/48 of the shares subject to the option vest on June 22, 2015, and 1/48 of the shares vest monthly thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.