MINDBODY, Inc. Form 4

June 24, 2015

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number: January 31,

response...

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

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**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Add Stollmeyer Ric	*	ting Person *	2. Issuer Name and Ticker or Trading Symbol MINDBODY, Inc. [MB]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) (First) (Middle)		(Middle)	3. Date of Earliest Transaction	(Enternal application)			
4051 BROAD	STREET,	SUITE 220	(Month/Day/Year) 06/24/2015	_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below)  President & CEO			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
SAN LUIS OBISPO, CA 93401			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative Sec	urities	s Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities oper Disposed (Instr. 3, 4 and	of (D)	red (A)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock (1)	06/24/2015		J	1,184,657	D	<u>(1)</u>	0	D	
Common Stock (1)	06/24/2015		J	23,750	D	(1)	0	I	See footnote (2)
Common Stock (1)	06/24/2015		J	1,250	D	(1)	0	I	See footnote (3)
Common Stock (1)	06/24/2015		J	1,250	D	(1)	0	I	See footnote (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of Derivative TransactionSecurities Acquired (A) Code or Disposed of (D) (Instr. 8) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and 4	
	•						Date Exercisable	Expiration Date	Title
Class B Common Stock (1) (5)	<u>(5)</u>	06/24/2015		Code V	(A) 1,184,657	(D)	<u>(5)</u>	<u>(5)</u>	Class A Common Stock
Class B Common Stock (1) (5)	<u>(5)</u>	06/24/2015		J	23,750		<u>(5)</u>	<u>(5)</u>	Class A Common Stock
Class B Common Stock (1) (5)	<u>(5)</u>	06/24/2015		J	1,250		<u>(5)</u>	<u>(5)</u>	Class A Common Stock
Class B Common Stock (1) (5)	<u>(5)</u>	06/24/2015		J	1,250		<u>(5)</u>	<u>(5)</u>	Class A Common Stock
Employee Stock Option (right to buy)	\$ 0.544	06/24/2015		J		358,252	<u>(6)</u>	11/19/2020	Common Stock (1)
Employee Stock Option (right to buy)	\$ 0.544	06/24/2015		J	358,252		<u>(6)</u>	11/19/2020	Class B Common Stock (1) (5)
Employee Stock Option (right to buy)	\$ 1.392	06/24/2015		J		197,017	<u>(6)</u>	11/15/2021	Common Stock (1)

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Employee Stock Option (right to buy)	\$ 1.392	06/24/2015	J	197,017		<u>(6)</u>	11/15/2021	Class B Common Stock (1) (5)
Employee Stock Option (right to buy)	\$ 7.708	06/24/2015	J		25,000	<u>(7)</u>	06/23/2023	Common Stock (1)
Employee Stock Option (right to buy)	\$ 7.708	06/24/2015	J	25,000		<u>(7)</u>	06/23/2023	Class B Common Stock (1) (5)
Employee Stock Option (right to buy)	\$ 11.52	06/24/2015	J		125,000	(8)	02/06/2024	Common Stock (1)
Employee Stock Option (right to buy)	\$ 11.52	06/24/2015	J	125,000		(8)	02/06/2024	Class B Common Stock (1) (5)
Employee Stock Option (right to buy)	\$ 14.476	06/24/2015	J		162,500	<u>(9)</u>	02/05/2025	Common Stock (1)
Employee Stock Option (right to buy)	\$ 14.476	06/24/2015	J	162,500		<u>(9)</u>	02/05/2025	Class B Common Stock (1) (5)
Employee Stock Option (right to buy)	\$ 14.496	06/24/2015	J		100,000	(10)	05/22/2025	Common Stock (1)
Employee Stock Option (right to buy)	\$ 14.496	06/24/2015	J	100,000		(10)	05/22/2025	Class B Common Stock (1) (5)

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Stollmeyer Richard Lee

4051 BROAD STREET
SUITE 220
SAN LUIS OBISPO, CA 93401

## **Signatures**

/s/ Kimberly G. Lytikainen, Attorney-in-Fact

06/24/2015 Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Immediately prior to the closing of the Issuer's initial public offering, each share of Common Stock was reclassified into one share of Class B Common Stock in an exempt transaction pursuant to Rule 16b-7.
- (2) The shares are held of record by the Reporting Person's spouse.
- (3) The shares are held of record by the Reporting Person as custodian for the benefit of his minor child.
- (4) The shares are held of record by the Reporting Person's spouse as custodian for the benefit of her minor child.
- (5) Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date.
- (6) The shares subject to the option are fully vested and exercisable.
- (7) 25% of the shares subject to the option vested on June 27, 2014, and 2.0833% of the shares vest monthly thereafter.
- (8) 10% of the shares subject to the option vested on January 1, 2015, 20% of the shares vest on January 1, 2016, 30% of the shares vest on January 1, 2017, and 40% of the shares vest on January 1, 2018.
- (9) 1/48 of the shares subject to the option vested on March 5, 2015, and 1/48 of the shares vest monthly thereafter.
- (10) 1/48 of the shares subject to the option vest on June 22, 2015, and 1/48 of the shares vest monthly thereafter.

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Reporting Owners 4