MINDBODY, Inc. Form 3

June 18, 2015

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement MINDBODY, Inc. [MB] A Stollmeyer Richard Lee (Month/Day/Year) 06/18/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **4051 BROAD** (Check all applicable) STREET, Â SUITE 220 (Street) 6. Individual or Joint/Group \_X\_ Director \_X\_ 10% Owner \_X\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting President & CEO Person **SAN LUIS** Form filed by More than One OBISPO, CAÂ 93401 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â D Common Stock (1) 1,184,657 Common Stock (1) I See footnote (2) 23,750 Common Stock (1) 1.250 I See footnote (3)Ι See footnote (4) Common Stock (1) 1,250 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	(5)	11/19/2020	Common Stock (1)	358,252	\$ 0.544	D	Â
Employee Stock Option (right to buy)	(5)	11/15/2021	Common Stock (1)	197,017	\$ 1.392	D	Â
Employee Stock Option (right to buy)	(6)	06/23/2023	Common Stock (1)	25,000	\$ 7.708	D	Â
Employee Stock Option (right to buy)	(7)	02/06/2024	Common Stock (1)	125,000	\$ 11.52	D	Â
Employee Stock Option (right to buy)	(8)	02/05/2025	Common Stock (1)	162,500	\$ 14.476	D	Â
Employee Stock Option (right to buy)	(9)	05/22/2025	Common Stock (1)	100,000	\$ 14.496	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
. 0	Director	10% Owner	Officer	Othe		
Stollmeyer Richard Lee 4051 BROAD STREET SUITE 220 SAN LUIS OBISPO, CA 93401	ÂX	ÂX	President & CEO	Â		

## **Signatures**

/s/ Kimberly G. Lytikainen, Attorney-in-Fact 06/18/2015

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Each share of Common Stock shall be reclassified into one share of Class B Common Stock immediately prior to the completion of the Issuer's initial public offering of Class A Common Stock.
- (2) The shares are held of record by the Reporting Person's spouse.
- (3) The shares are held of record by the Reporting Person as custodian for the benefit of his minor child.
- (4) The shares are held of record by the Reporting Person's spouse as custodian for the benefit of her minor child.
- (5) The shares subject to the option are fully vested and exercisable.

Reporting Owners 2

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- (6) 25% of the shares subject to the option vested on June 27, 2014, and 2.0833% of the shares vest monthly thereafter.
- (7) 10% of the shares subject to the option vested on January 1, 2015, 20% of the shares vest on January 1, 2016, 30% of the shares vest on January 1, 2017, and 40% of the shares vest on January 1, 2018.
- (8) 1/48 of the shares subject to the option vested on March 5, 2015, and 1/48 of the shares vest monthly thereafter.
- (9) 1/48 of the shares subject to the option vest on June 22, 2015, and 1/48 of the shares vest monthly thereafter.

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#### **Remarks:**

### Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.