MINDBODY, Inc.

Form 3 June 18, 2015

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

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Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Brandenburg Chet Ian

(Last)

(First)

Statement

(Month/Day/Year)

06/18/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

MINDBODY, Inc. [MB]

(Check all applicable)

(Middle)

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

**4051 BROAD** 

STREET, Â SUITE 220

(Street)

Director \_X\_\_ Officer (give title below) (specify below) 6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

5. If Amendment, Date Original

Chief Product Officer Person

10% Owner

Other

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

**SAN LUIS** OBISPO. CAÂ 93401

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

(City)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Form:

Ownership Direct (D)

Ownership (Instr. 5)

or Indirect (I)

(Instr. 5)

Common Stock (1) I See footnote (2) 303,837

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Title

Derivative Direct (D) Security

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	(3)	11/15/2021	Common Stock (1)	18,750	\$ 1.392	D	Â
Employee Stock Option (right to buy)	(4)	06/23/2023	Common Stock (1)	14,750	\$ 7.708	D	Â
Employee Stock Option (right to buy)	(5)	02/06/2024	Common Stock (1)	12,500	\$ 11.52	D	Â
Employee Stock Option (right to buy)	(6)	05/14/2024	Common Stock (1)	25,000	\$ 9.936	D	Â
Employee Stock Option (right to buy)	(7)	02/05/2025	Common Stock (1)	25,000	\$ 14.476	D	Â
Employee Stock Option (right to buy)	(8)	05/22/2025	Common Stock (1)	62,500	\$ 14.496	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Other		
Brandenburg Chet Ian 4051 BROAD STREET SUITE 220 SAN LUIS OBISPO, CA 93401	Â	Â	Chief Product Officer	Â		

## **Signatures**

/s/ Kimberly G. Lytikainen, Attorney-in-Fact

06/18/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Common Stock shall be reclassified into one share of Class B Common Stock immediately prior to the completion of the Issuer's initial public offering of Class A Common Stock.
- (2) The shares are held of record by the Reporting Person and the Reporting Person's spouse as trustees of the Brandenburg Family Trust dated January 9, 2014.
- (3) 25% of the shares subject to the option vested on November 15, 2012, and 2.0833% of the shares vest monthly thereafter.
- (4) 25% of the shares subject to the option vested on June 27, 2014, and 2.0833% of the shares vest monthly thereafter.
- (5) 1/48 of the shares subject to the option vested on February 1, 2014, and 1/48 of the shares vest monthly thereafter.
- (6) 1/48 of the shares subject to the option vested on June 14, 2014, and 1/48 of the shares vest monthly thereafter.
- (7) 1/48 of the shares subject to the option vested on March 5, 2015, and 1/48 of the shares vest monthly thereafter.
- (8) 1/48 of the shares subject to the option vest on June 22, 2015, and 1/48 of the shares vest monthly thereafter.

Reporting Owners 2

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#### **Remarks:**

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.