Edgar Filing: Financial Engines, Inc. - Form 4

Financial Eng	gines, Inc.									
Form 4										
May 26, 2015	i									
							OMB APPROVAL			
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287		
Check this if no longe subject to Section 16 Form 4 or	box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								January 31, 2005 average Irs per 0.5	
Form 5 obligation may contin <i>See</i> Instruct 1(b).	s Section 17(a)	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section								
(Print or Type R	esponses)									
1. Name and Address of Reporting Person <u>*</u> YOFFIE DAVID B			2. Issuer Name and Ticker or Trading Symbol Financial Engines, Inc. [FNGN]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	iddle) 3. Dat	e of Earliest Tra	ansaction			(Cheo	ck all applicable	e)	
· · ·	RPRISE WAY, 31	(Mont	h/Day/Year) /2015				X Director Officer (give below)		b Owner er (specify	
	(Street)		mendment, Dat Month/Day/Year)	-			6. Individual or J Applicable Line) _X_ Form filed by	One Reporting Po	erson	
SUNNYVAI	LE, CA 94089						Person	More than One Ro	eporting	
(City)	(State) (Z	Zip) T	able I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, any (Month/Day/Ye	Code	TransactionAcquired (A) or Code Disposed of (D)			Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	05/21/2015	05/21/2015	М	1,254	А	\$0	6,254	D		
Common Stock	05/22/2015	05/22/2015	М	1,250	А	\$0	7,504	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 <u>(1)</u>	05/22/2015	05/22/2015	М	1,250	(2)	(3)	Common Stock	1,250	\$
Restricted Stock Units	\$ 0 <u>(1)</u>	05/21/2015	05/21/2015	М	1,254	(4)	(3)	Common Stock	1,254	\$

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
YOFFIE DAVID B 1050 ENTERPRISE WAY 3RD FLOOR SUNNYVALE, CA 94089	Х						
Cianaturaa							

Signatures

/s/ Jeffrey C. Grace, Attorney-in-Fact For: David B. Yoffie

**Signature of Reporting Person

05/26/2015 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Financial Engines, Inc. common stock.
- (2) The Restricted Stock units vest in four equal annual installments beginning 5/22/14.
- (3) No expiration date.
- (4) The Restricted Stock units vest in four equal installments annually beginning 5/21/15.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.