SYMANTEC CORP Form 4 May 13, 2015

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

1. Title of

Security

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

SEIFERT THOMAS J

2. Issuer Name and Ticker or Trading

Symbol SYMANTEC CORP [SYMC]

(First) (Last)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

05/11/2015

350 ELLIS STREET

2. Transaction Date 2A. Deemed

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner

X\_ Officer (give title Other (specify below)

**EVP & Chief Financial Officer** 

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**MOUNTAIN VIEW, CA 94043** 

(City) (State) (Zip)

(Month/Day/Year)

3. 4. Securities Execution Date, if TransactionAcquired (A) or

Code Disposed of (D) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (T)

Ownership (Instr. 4) (Instr. 4)

Reported (A)

Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amo Underlying Secu (Instr. 3 and 4)

#### Edgar Filing: SYMANTEC CORP - Form 4

	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V (A)	(D)	Date Exercisable	Expiration Date	Title	An or Nu of
Performance-based Restricted Stock Units	(1)	05/11/2015		A	48,804		<u>(1)</u>	<u>(1)</u>	Common Stock	48

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SEIFERT THOMAS J 350 ELLIS STREET MOUNTAIN VIEW, CA 94043

**EVP & Chief Financial Officer** 

### **Signatures**

/s/Simona Katcher, as attorney-in-fact for Thomas J. Seifert

05/13/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

fiscal 2015 was partially satisfied, resulting in 48,804 shares becoming Eligible Shares.

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On June 10, 2014, the Reporting Person was granted performance-based restricted stock units (PRUs), with a target of 99,298 shares. The PRU provides that, depending on the Issuer's achievement of the performance criterion for fiscal 2015, 0% to 133% of the target shares will be eligible (the "Eligible Shares") to be earned at the end of fiscal 2016 and 2017, based on, and subject to further adjustment as a result of, the achievement of certain other performance criteria (but in no event will the Reporting Person earn less than 50% of the Eligible Shares), provided that the Reporting Person is employed by the Issuer through March 31, 2017. The performance criterion for

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