Financial Engines, Inc. Form 4 February 25, 2015

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock

Form 5

(Print or Type Responses)

1. Name and A SHOVEN J	Symbo	2. Issuer Name <b>and</b> Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
	Finan	Financial Engines, Inc. [FNGN]				(Check all applicable)			
(Last)	(First) (I	Middle) 3. Date	of Earliest T	ransaction			`	**	,
1050 ENTE FLOOR	ERPRISE WAY, 3	,	/Day/Year) /2015				_X_ Director Officer (give below)		Owner er (specify
	(Street)	(Street) 4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
	Filed(M	Filed(Month/Day/Year)				Applicable Line)			
SUNNYVA	ALE, CA 94089						_X_ Form filed by N Form filed by N Person	One Reporting Pe More than One Re	
(City)	(State)	(Zip) Ta	ble I - Non-I	<b>Derivative</b>	Secur	ities Acqu	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deemed Execution Date, it any (Month/Day/Year	Code	4. Securit on(A) or Di (Instr. 3,	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/24/2015	02/24/2015	M	10,000	A	\$ 8.75	13,750	D	
Common	02/24/2015	02/24/2015	S	10,000	D	\$ 40.38	3,750	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

40.38

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Month/Day/Yea (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securit	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Option (Right to Buy)	\$ 8.75	02/24/2015	02/24/2015	M	10,000	<u>(1)</u>	01/26/2020	Common Stock	10,0

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SHOVEN JOHN B 1050 ENTERPRISE WAY 3RD FLOOR SUNNYVALE, CA 94089	X						

## **Signatures**

Jeffrey C. Grace, Attorney-in-Fact For: John Shoven 02/25/2015

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- $\textbf{(1)} \quad \text{The option award vests as to } 12,\!500 \text{ shares on } 1/26/11, \text{ and the remaining shares vest monthly thereafter from } 02/26/11 \text{ to } 01/26/14.$

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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