

SYMANTEC CORP

Form 3

July 01, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Rosch Francis C

(Last) (First) (Middle)

350 ELLIS STREET

(Street)

MOUNTAIN

VIEW,Â CAÂ 94043

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

06/25/2014

3. Issuer Name and Ticker or Trading Symbol
SYMANTEC CORP [SYMC]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

EVP, Business Norton Unit

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

176,477 ⁽¹⁾

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect6. Nature of Indirect Beneficial Ownership
(Instr. 5)

				Shares		(I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	Â (2)	09/10/2017	Common Stock	28,000	\$ 14.86	D	Â
Non-Qualified Stock Option (right to buy)	Â (3)	06/10/2018	Common Stock	40,000	\$ 18.5	D	Â
Performance-based Restricted Stock Units	Â (4)	Â (4)	Common Stock	9,333	\$ 0	D	Â
Performance-based Restricted Stock Units	Â (5)	Â (5)	Common Stock	10,021	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Rosch Francis C 350 ELLIS STREET MOUNTAIN VIEW,Â CAA 94043	Â	Â	Â EVP, Business Norton Unit	Â

Signatures

/s/ Simona Katcher, as attorney-in-fact for Francis C. Rosch

07/01/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes shares that are issuable pursuant to Restricted Stock Units (6,250 shares will vest on September 1, 2014, 3,333 shares will vest on March 1, 2015, 13,333 shares will vest annually in two equal installments beginning June 1, 2015, 21,602 shares will vest annually in three equal installments beginning June 1, 2015, 39,735 shares will vest annually in four equal installments beginning September 1, 2014, 43,325 shares will vest annually in four equal installments beginning March 1, 2015 and 37,190 shares will vest annually in four equal installments beginning June 1, 2015).

(2) Mr. Rosch was granted an option to purchase 50,000 shares on September 10, 2010. 25% vested on the first anniversary of the grant, and the remainder vests in equal monthly installments over the next 36 months.

(3) Mr. Rosch was granted an option to purchase 40,000 shares on June 10, 2011. 25% vested on the first anniversary of the grant, and the remainder vests in equal monthly installments over the next 36 months.

(4) On May 10, 2012, the Reporting Person was granted performance-based restricted stock units (PRUs), with a target of 8,333 shares. The PRU provides that, depending on the Issuer's achievement of the performance criterion for fiscal 2013, 0% to 133% of the target shares will be eligible (the "Eligible Shares") to be earned at the end of fiscal 2014 and 2015, based on, and subject to further adjustment as a result of, the achievement of certain other performance criteria (but in no event will the Reporting Person earn less than 50% of the Eligible Shares), provided that the Reporting Person is employed by the Issuer through April 3, 2015. The performance criterion for fiscal 2013 was exceeded, resulting in 9,333 shares becoming Eligible Shares.

(5) On May 20, 2013, the Reporting Person was granted PRUs with a target of 20,451 shares. The PRU provides that, depending on the Issuer's achievement of the performance criterion for fiscal 2014, 0% to 133% of the target shares will be eligible (the "Eligible Shares") to be earned at the end of fiscal 2015 and 2016, based on, and subject to further adjustment as a result of, the achievement of certain other performance criteria (but in no event will the Reporting Person earn less than 50% of the Eligible Shares), provided that the Reporting Person is employed by the Issuer through April 1, 2016. The performance criterion for fiscal 2014 was partially satisfied, resulting in 10,021 shares becoming Eligible Shares.

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Remarks:

Exhibit^ List

Exhibit^ 24^ -^ Power^ of^ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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