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ALDER BIOPHARMACEUTICALS INC

Form 4 May 15, 2014

Common 05/13/2014

Stock

FORM	FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL				
. 0.11	• UNITED	STATES S				COMMISSION	OMB Number:	3235-0287				
Check this if no longer subject to Section 16. Form 4 or	staten	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						January 31, 2005 ed average nours per				
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type Re	sponses)	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Onses) Eas of Reporting Person 2. Issuer Name and Ticker or Trading Symbol ALDER BIOPHARMACEUTICALS INC [ALDR] (First) (Middle) 3. Date of Barliest Transaction (Month/Day/Year) ENERS 05/13/2014 W. GEORGIA EE 2500 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) Filed(Month/Day/Year) Al V7Y 1B3 (State) 7. Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned ansaction Date 2A, Deemed any (Month/Day/Year) (State) Code (Instr. 3, 4 and 5) (Instr. 3) And Code (Instr. 3, 4 and 5) (Instr. 3) By Ventures West 8										
1. Name and Add Bridger Gary	dress of Reporting	S	Symbol Issuer			Issuer						
		I	NC [ALDR]			(Спеск ан аррпсавіе)						
C/O FIVE CO CAPITAL, 70 STREET, SU	ORNERS 00 W. GEORG	(I 0	Month/Day/Year)	Transaction		Officer (give title Other (specify						
VANCOUVE	(Street) ER, A1 V7Y 1E	F		_		Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting						
(City)	(State)	(Zip)	Table I - Non-	-Derivative Se	curities Aca		f. or Benefici	ally Owned				
	Transaction Date Month/Day/Year)	Execution Da	3. Transaction Code Year) (Instr. 8)	4. Securities A oror Disposed of (Instr. 3, 4 and	Acquired (A) of (D) d 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership				
Common Os	5/13/2014						I	Ventures West 8 Limited				

P

250,000

A \$ 10 3,469,528

I

By

Ventures West 8 Limited

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Partnership (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of 6. Date Exercisable a Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A Preferred Stock	(1)	05/13/2014		С	1,027,668	<u>(1)</u>	<u>(1)</u>	Common Stock	1,027,66
Series B Preferred Stock	Ш	05/13/2014		С	853,146	<u>(1)</u>	<u>(1)</u>	Common Stock	853,146
Series C Preferred Stock	<u>(1)</u>	05/13/2014		С	841,750	<u>(1)</u>	<u>(1)</u>	Common Stock	841,750
Series D Preferred Stock	(1)	05/13/2014		С	496,964	<u>(1)</u>	<u>(1)</u>	Common Stock	496,964

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Bridger Gary C/O FIVE CORNERS CAPITAL 700 W. GEORGIA STREET, SUITE 2500 VANCOUVER, A1 V7Y 1B3

X

Signatures

/s/ Claudia LaFollette, Attorney-in-Fact for Gary Bridger

05/15/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The preferred stock converted into common stock upon the closing of the issuer's initial public offering on a 1-for-1 basis and had no expiration date.
- Dr. Bridger is a managing director of Five Corners Capital Inc., the general partner of Ventures West 8 Limited Partnership. Dr. Bridger (2) may be deemed to hold voting and investment power with respect to shares held by Ventures West 8 Limited Partnership. Dr. Bridger disclaims beneficial ownership of such shares except to the extent of any pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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