BIOTIME INC Form 4 August 14, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or Form 5

obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * VON ESCHENBACH ANDREW C.

163 SARASOTA CIRCLE SOUTH

(State)

(Zip)

Symbol

BIOTIME INC [BTX]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 08/12/2013

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

Estimated average

burden hours per

OMB APPROVAL

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

X_ Director 10% Owner Other (specify Officer (give title

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

MONTGOMERY, TX 77356

(City)

| | | | | 011,0001,0 | | 11105 1109 | lan ca, z isposea (| , or <i>Demons</i> | |
|----------------------|---------------------|--------------------|------------|------------|-----------|------------|---------------------|--------------------|--------------|
| 1.Title of | 2. Transaction Date | 2A. Deemed | 3. | 4. Securi | ties A | cquired | 5. Amount of | 6. Ownership | 7. Nature of |
| Security | (Month/Day/Year) | Execution Date, if | Transactio | on(A) or D | ispose | d of | Securities | Form: Direct | Indirect |
| (Instr. 3) | | any | Code | (D) | | | Beneficially | (D) or | Beneficial |
| | | (Month/Day/Year) | (Instr. 8) | (Instr. 3, | 4 and | 5) | Owned | Indirect (I) | Ownership |
| | | | | | | | Following | (Instr. 4) | (Instr. 4) |
| | | | | | (4) | | Reported | | |
| | | | | | (A) | | Transaction(s) | | |
| | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | |
| Common | | | | | | ď | | | |
| Shares, no par value | 08/12/2013 | | P | 3,000 | A | 3.69 | 3,000 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: BIOTIME INC - Form 4

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Title and A Underlying S (Instr. 3 and | Securities | 8. Pric Deriva Securi (Instr. |
|---|---|--------------------------------------|---|--|---|---------------------|--------------------|---|-------------------------------------|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Option to Purchase Common Shares | \$ 4.13 | | | | | <u>(1)</u> | 06/30/2018 | Common Shares | 20,000 | |
| Option to Purchase Common Shares | \$ 4.6 | | | | | (2) | 06/30/2017 | Common Shares | 20,000 | |
| Option to Purchase Common Shares | \$ 4.06 | | | | | (3) | 11/27/2016 | Common Shares | 20,000 | |

Reporting Owners

| Relationships | | | | | |
|---------------|-----------|--------------------|----------------------------|--|--|
| Director | 10% Owner | Officer | Other | | |
| X | | | | | |
|] | | Director 10% Owner | Director 10% Owner Officer | | |

Signatures

/s/ Andrew C. von
Eschenbach

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Will become exercisable in four equal quarterly installments after the date of grant on July 1, 2013 based upon continued service on the board of directors.
- (2) 5,000 options became exercisable on September 30, 2012; December 31, 2012; March 31, 2013; and June 30, 2013.
- (3) 5,000 options became exercisable on December 31, 2011; March 31, 2012; June 30, 2012, and September 30, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

Edgar Filing: BIOTIME INC - Form 4