Edgar Filing: Gamble Paul - Form 4

Gamble Paul Form 4 December 20	2012									
FORM	1							OMB AF	PROVAL	
	■ UNITED S	Washington, D.C. 20549 x STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							3235-0287	
Check this if no longe subject to Section 16 Form 4 or	er STATEM 5.								January 31 2005 verage rs per 0.5	
Form 5 obligation may conti <i>See</i> Instru 1(b).	s Section 17(a ction	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								
Gamble Paul Syr			. Issuer Name and Ticker or Trading mbol nancial Engines, Inc. [FNGN]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M		3. Date of Earliest Transaction (C					eck all applicable)		
1050 ENTEI FLOOR	RPRISE WAY, 3	(Month/D RD 12/19/20	•				Director X_ Officer (give below) EVP, Dist			
	(Street) 4. If Amer Filed(Mon			-	1		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
SUNNYVAI	LE, CA 94089						Person	ore than one Rej	porting	
(City)	(State) (Zip) Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficiall	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)			d of (D)	Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
<i></i>			Code V	Amount	or	Price \$	Transaction(s) (Instr. 3 and 4)			
Common Stock	12/19/2012	12/19/2012	S <u>(1)</u>	1,000	D	27.32 (2)	23,552	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Gamble Paul			EVP,				
1050 ENTERPRISE WAY, 3RD FLOOR			Distrib &				
SUNNYVALE, CA 94089			Inst Services	5			

Signatures

Joanne Burns, Attorney-in-Fact

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in this Form 4 was effected persuant to a 10b5-1 trading plan adopted by the reporting person on June 1, 2012.

The price reported in Col 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$27.10 to \$27.55, inclusive. The reporting person undertakes to provide, upon request, Financial Engines, Inc., any shareholder thereof, or the

(2) to \$27.55, inclusive: The reporting person undertaces to provide, apoin request, if matching Engines, inc., any shareholder increases, or the rest of the securities and Exchange Commission, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.