Gamble Paul Form 3 November 09, 2012

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement Financial Engines, Inc. [FNGN] Gamble Paul (Month/Day/Year) 11/07/2012 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1050 ENTERPRISE WAY, 3RD (Check all applicable) **FLOOR** (Street) 6. Individual or Joint/Group 10% Owner Director _X__ Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting EVP, Distrib & Inst Services Person SUNNYVALE, CAÂ 94089 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 26,576 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of 1. Title of Derivative Security 5. 6. Nature of Indirect 2. Date Exercisable and Securities Underlying Beneficial Ownership (Instr. 4) **Expiration Date** Conversion Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security: Title Direct (D) Security

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(1)	11/11/2018	Common Stock	3,200	\$ 6.51	D	Â
Employee Stock Option (Right to Buy)	(2)	11/11/2018	Common Stock	5,249	\$ 6.51	D	Â
Employee Stock Option (Right to Buy)	(3)	11/09/2019	Common Stock	4,584	\$ 7.99	D	Â
Employee Stock Option (Right to Buy)	(4)	11/18/2021	Common Stock	12,120	\$ 21.39	D	Â
Restricted Stock Units	(5)	(5)	Common Stock	5,720	\$ <u>(6)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Gamble Paul 1050 ENTERPRISE WAY, 3RD FLOOR SUNNYVALE, CA 94089	Â	Â	EVP, Distrib & Inst Services	Â	

Signatures

Joanne Burns, Attorney-in-Fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option award vests as to 3840 shares on 11/11/09, and the remaining shares vest monthly thereafter from 12/11/09 to 11/11/12.
- (2) The option award vests as to 7410 shares on 11/11/09, and the remaining shares vest monthly thereafter from 12/11/09 to 11/11/12.
- (3) The option award vests as to 2500 shares on 11/09/10, and the remaining shares vest monthly thereafter from 12/09/10 to 11/09/13.
- (4) The option award vests as to 3030 shares on 11/18/12, and the remaining shares vest monthly thereafter from 12/18/12 to 11/18/15.
- (5) The restricted stock units vest in four equal installments beginning 11/18/11.
- (6) Each restricted stock unit represents a contingent right to receive one share of Financial Engines, Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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