### Edgar Filing: Berg-Lacy E. Olena - Form 4

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Form 4											
August 22, 2									OMB AP	PROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANCE Washington, D.C. 20549					NGE C	OMMISSION	OMB Number:	3235-0287			
Check th if no lon subject t Section Form 4 of Form 5 obligation may con <i>See</i> Instr 1(b).	ger o 16. or Filed pur ons tinue.	ATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES d pursuant to Section 16(a) of the Securities Exchange Act of 1934, n 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							Expires: Estimated a burden hour response	bires: January 31, 2005 imated average den hours per	
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Berg-Lacy E. Olena							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) 1050 ENTERPRISE WAY, 3RD FLOOR			08/21/2012 -				_X_ Director 10% Owner Officer (give title Other (specify elow) below)				
(Street)			Filed(Month/Day/Year) A				<ol> <li>Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>X_ Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting</li> </ol>				
	ALE, CA 94089							Person			
(City)	(State)	(Zip)		le I - Non-l	Derivative	Secu	rities Acqu	iired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution D any (Month/Day	Date, if	Code (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock	08/21/2012	08/21/201	2	Code V M	Amount 10,000	or (D) A	Price \$ 6.51	(Instr. 3 and 4) 10,000	(Instr. 4) D		
Common Stock	08/21/2012	08/21/201	2	S	10,000	D	\$ 21.2157 (1)	7 0	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 6.51	08/21/2012	08/21/2012	М	10,000	(2)	12/17/2018	Common Stock	10,000	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Berg-Lacy E. Olena 1050 ENTERPRISE WAY 3RD FLOOR SUNNYVALE, CA 94089	Х						
Signatures							
Joanne E. Burns, Attorney-in-Fact	(	)8/22/2012					

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Col 4 is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from

- (1) \$21.20 to \$21.31, inclusive. The reporting person undertakes to provide, upon request, Financial Engines, Inc., any shareholder thereof, or the staff of the Securities and Exchange Commission, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (2) The option award vests as to 12,500 shares on 12/17/09, and the remaining shares vest monthly thereafter from 01/17/10 to 12/17/12.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.