Edgar Filing: Maggioncalda Jeffrey Nacey - Form 4

Form 4 July 19, 20 FORI Check if no lo subject Section Form 4 Form 5 obligat may co	M 4 UNITED this box onger t to 1 16. f or 5 Filed pu	STATES SI MENT OF C ursuant to Sec ((a) of the Pub	Washingto CHANGES I SECU tion 16(a) of	on, D.C. 2 N BENE URITIES the Secur olding Co	FICI FICI rities	AL OWI Exchang ny Act of	COMMISSION NERSHIP OF e Act of 1934, f 1935 or Section 0	OMB Number: Expires: Estimated burden ho response.	ours per	
(Print or Type	e Responses)									
	Address of Reporting	2. Issuer Name and Ticker or Trading Symbol Financial Engines, Inc. [FNGN]				5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First)		3. Date of Earliest Transaction				(Chec	ck all applicable)		
1804 EMI	3ARCADERO RO		(Month/Day/Year) 07/18/2010				X Director 10% Owner X Officer (give title Other (specify below) below) CEO and President			
PALO AL		Amendment, Date Original (Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Table I - No	n-Derivativ	e Seci	urities Acq	uired, Disposed of	, or Benefici	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any (Month/Day/Ye	ed3.4. Securities Acquired (A)5. Amount ofDate, ifTransactionor Disposed of (D)SecuritiesCode(Instr. 3, 4 and 5)Beneficially				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	07/18/2010	07/18/2010	M	13,086	. ,	\$ 1	67,495	I	By the Maggioncalda Family Trust	
Common Stock	07/18/2010	07/18/2010	S <u>(1)</u>	13,086	D	\$ 23.2344 (2)	54,409	I	By the Maggioncalda Family Trust	
Common Stock	07/18/2010	07/18/2010	S <u>(3)</u>	1,037	D	\$ 23.2554 (4)	23,111	I	As Special Trustee to Child	
Common Stock	07/18/2010	07/18/2010	S <u>(3)</u>	1,037	D	\$ 23.2554	23,111	Ι	As Special Trustee to	

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Common Stock	07/18/2010	07/18/2010	S <u>(3)</u> 1,	037 I)	(4) \$ 23.2551 (5)	23,111	I	Child As Special Trustee to Child	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)										
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	sactiorDerivative Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 1	07/18/2010	07/18/2010	М		13,086	<u>(6)</u>	12/19/2011	Common Stock	13,086

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	elationships Officer CEO and President	Other				
Maggioncalda Jeffrey Nacey 1804 EMBARCADERO ROAD PALO ALTO, CA 94303	Х		CEO and President					
Signatures								
Joanne E. Burns, Attorney-in-Fact	07/	19/2010						

Date

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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(1) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 17, 2010

The price reported in Col 4 is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$23.10 to \$23.53 inclusive. The reporting person undertakes to provide, upon request, Financial Engines, Inc., any shareholder thereof, or

- (2) staff of the Securities and Exchange Commission, full information regarding the number of shares sold at each separate price within the range set forth in theis footnote.
- (3) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 8, 2011.

The price reported in Col 4 is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$23.19 to \$23.47 inclusive. The reporting person undertakes to provide, upon request, Financial Engines, Inc., any shareholder thereof, or

(4) staff of the Securities and Exchange Commission, full information regarding the number of shares sold at each separate price within the range set forth in theis footnote.

The price reported in Col 4 is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$23.19 to \$23.47, inclusive. The reporting person undertakes to provide, upon request, Financial Engines, Inc., any shareholder thereof, or

- (5) the staff of the Securities and Exchange Commission, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (6) The option award is fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.