Edgar Filing: Sims Raymond J. - Form 4

Sims Raymo	ond J.										
Form 4											
May 17, 201	11										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMMESION	OMB APPROVAL		
	UNITED	SIAIES		shington			ANGE CO	UMINIISSION	OMB Number:	3235-0287	
Check th	nis box		vva	snington	, D.C. 2	0549				January 31,	
if no lon		AENT OF	CHAN	JGES IN	BENEF	TCL	AL OWN	ERSHIP OF	Expires:	2005	
subject t	.0		CIIII	ANGES IN BENEFICIAL OWN SECURITIES					Estimated average		
	Section 16. Form 4 or								burden hours per response 0.		
Form 5	Filed pur	suant to S	ection 1	6(a) of the	ne Securi	ties l	Exchange	Act of 1934,	100001100111	0.0	
obligation may con	ons Section 17(-	1935 or Section			
See Inst		30(h)	of the Ir	nvestmen	t Compa	ny A	ct of 1940)			
1(b).											
(Duint on Tours	D										
(Print or Type	Kesponses)										
1. Name and Address of Reporting Person [*] _ 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s)									on(s) to		
Sims Raym		8				8	Issuer				
			•	al Engine	es, Inc. []	FNG	N]				
(Last)	(First) (I	Middle)		f Earliest T	_		-	(Check	all applicable)	
()	()	· · ·		Day/Year)	runsaetion			Director	10%	Owner	
			05/16/2011				:	X_ Officer (give title Other (specify below) below)			
								/	P and CFO		
			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
			-					Applicable Line)			
								_X_Form filed by One Reporting Person Form filed by More than One Reporting			
PALO ALT	TO, CA 94303						:	Person	ore than One Rej	porting	
(City)	(State)	(Zip)	Tah	le I - Non-l	Derivativa	Secu	rities Acau	ired, Disposed of,	or Beneficial	v Owned	
1.Title of	2. Transaction Date	24 Deem		3.			_		6.	7. Nature of	
Security	(Month/Day/Year)	Execution		3.4. Securities Acquired (A)Transactionor Disposed of (D)Code(Instr. 3, 4 and 5)				Securities	0. Ownership	Indirect	
(Instr. 3)	× ,	any						Beneficially Form: Owned Direct (I	-	Beneficial Ownership	
		(Month/Da	(Month/Day/Year)		(Instr. 8)				Direct (D) or Indirect		
								Reported	(I)	(IIIsu. 4)	
						(A) or		Transaction(s)	(Instr. 4)		
				Code V	Amount		Price	(Instr. 3 and 4)			
Common							\$				
Stock	05/16/2011	05/16/20	11	S <u>(1)</u>	4,000	D	26.3569	18,065	D		
2000A							(2)				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Sims Raymond J. 1804 EMBARCADERO ROAD PALO ALTO, CA 94303			EVP and CFO				
Signatures							
Joanne E. Burns, Attorney-in-Fact	05/	/17/2011					
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 1, 2010.

The price reported in Col 4 is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$26.23 to \$26.46, inclusive. The reporting person undertakes to provide, upon request, Financial Engines, Inc., any shareholder thereof, or \$26.23 to \$26.46, inclusive. The reporting person undertakes to provide, upon request, Financial Engines, Inc., any shareholder thereof, or \$26.46, inclusive. The reporting person undertakes to provide, upon request, Financial Engines, Inc., any shareholder thereof, or \$26.23 to \$26.46, inclusive. The reporting person undertakes to provide, upon request, Financial Engines, Inc., any shareholder thereof, or \$26.23 to \$26.46, inclusive. The reporting person undertakes to provide, upon request, Financial Engines, Inc., and Shareholder thereof, or \$26.23 to \$26.46, inclusive. The reporting person undertakes to provide, upon request, Financial Engines, Inc., and Shareholder thereof, or \$26.23 to \$26.46, inclusive. The reporting person undertakes to provide, upon request, Financial Engines, Inc., and Shareholder thereof, or \$26.23 to \$26.46, inclusive. The reporting person undertakes to provide, upon request, Financial Engines, Inc., and Shareholder thereof.

(2) the staff of the Securities and Exchange Commission, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.