#### Edgar Filing: Maggioncalda Jeffrey Nacey - Form 4

Form 4 October 20 FORM Check 1 if no lo subject Section Form 4 Form 5 obligati may co <i>See</i> Ins 1(b).	<b>VI 4</b> UNITED this box nger to 16. or Filed pu Section 17	STATES SE MENT OF C rsuant to Sect (a) of the Pub	Washingto HANGES II SECU tion 16(a) of	n, D.C. 2 N BENE JRITIES the Secu olding Co	2054 FIC S rities ompa	9 IAL OWI Exchanguny Act of	COMMISSION NERSHIP OF e Act of 1934, 1935 or Sectio 0	OMB Number: Expires: Estimate burden h response		
(Print or Type	e Kesponses)									
	Address of Reporting alda Jeffrey Nace	y Syı	. Issuer Name <b>a</b> mbol nancial Engii			-	5. Relationship of Issuer			
(Last)	(First)	(Middle) 3. ]	Date of Earliest	Transactio	on		(Cnec	k all applica	ble)	
			(Month/Day/Year) 10/18/2010				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) CEO and President			
PALO AL	f Amendment, Date Original d(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Table I - Nor	-Derivativ	ve Sec	urities Aca	uired, Disposed of	f. or Benefic	ially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any (Month/Day/Ye	3. c, if Transactiv Code ear) (Instr. 8)		ties A sed of	cquired (A) (D)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	10/18/2010	10/18/2010	М	8,809	A	\$ 1	49,883	I	By the 1999 Maggioncalda Family Trust	
Common Stock	10/18/2010	10/18/2010	S <u>(1)</u>	8,809	D	\$ 14.1033 (2)	41,074	I	By the 1999 Maggioncalda Family Trust	
Common Stock	10/18/2010	10/18/2010	М	1,191	A	\$ 3	42,265	I	By the 1999 Maggioncalda Family Trust	
Common Stock	10/18/2010	10/18/2010	S <u>(1)</u>	1,191	D	\$ 14.1033	41,074	Ι	By the 1999 Maggioncalda	

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2	26,222	Ι	As Custodian for Child
2	26,222	I	As Custodian for Child
2	26,222	Ι	As Custodian for Child
	2	26,222 26,222 26,222	26,222 I

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number or of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option - Right to Buy	\$ 1	10/18/2010	10/18/2010	М	8,809	<u>(3)</u>	12/19/2010	Common Stock	8,809
Employee Stock Option - Right to Buy	\$ 3	10/18/2010	10/18/2010	М	1,191	(3)	01/30/2014	Common Stock	1,191

### **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
Maggioncalda Jeffrey Nacey 1804 EMBARCADERO ROAD	Х		CEO and President				

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#### PALO ALTO, CA 94303

### Signatures

Joanne E. Burns, 10/20/2010 Attorney-in-Fact Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 1, 2010.

The price reported in Col 4 is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$13.85 to \$14.25, inclusive. The reporting person undertakes to provide, upon request, Financial Engines, Inc., any shareholder thereof, or

(2)the staff of the Securities and Exchange Commission, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(3) The option award is fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.