### Edgar Filing: Financial Engines, Inc. - Form 4

Financial Er Form 4 March 19, 2	010 Л Л						-	PPROVAL		
	UNITED STATE				IGE C	COMMISSION	OMB Number:	3235-0287		
Check the		Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,						January 31,		
if no lon subject t Section Form 4 Form 5	o SIATEMENT ( 16. or							ed average hours per se 0.5		
obligatio may cor <i>See</i> Instr 1(b).	Section 17(a) of the		olding Com	pany .	Act of	f 1935 or Sectio	n			
(Print or Type	Responses)									
	Address of Reporting Person <u>*</u> EST JOSEPH	Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol Financial Engines, Inc. [FNGN]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)	3. Date of Earliest	_	ionij		(Chec	k all applicab	le)		
1804 EMB	(Month/Day/Year) 03/19/2010	lonth/Day/Year)				XDirector10% Owner Officer (give titleOther (specify below)below)				
PALO ALT	4. If Amendment, Filed(Month/Day/Yo	Amendment, Date Original Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul>				
(City)	(State) (Zip)	Table I - Non	-Derivative S	ecuriti	ies Acq	uired, Disposed of	f, or Beneficia	ally Owned		
1.Title of Security (Instr. 3)	any	on Date, if Transac Code Day/Year) (Instr. 8		posed of and 5) (A) or	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	03/19/2010	Code C	V Amount 100,001	(D)	Price ( <u>1</u> )	700,001	I	By the Grundfest Living Trust U/T/A DD 8/25/97		
Common Stock	03/19/2010	C	13,198	A	<u>(1)</u>	713,199	I	By the Grundfest Living Trust U/T/A DD 8/25/97		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	TransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A Preferred Stock	Ш	03/19/2010		С		100,001	<u>(1)</u>	<u>(1)</u>	Common Stock	100,001
Series C Preferred Stock	<u>(1)</u>	03/19/2010		С		13,198	<u>(1)</u>	<u>(1)</u>	Common Stock	13,198

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
GRUNDFEST JOSEPH 1804 EMBARCADERO ROAD PALO ALTO, CA 94303	Х						
Signatures							
/s/ Joanne Burns, Attorney-in-Fact	03/	/19/2010					
**Signature of Reporting Person		Date					

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

S S (1) The Series A preferred stock and Series C preferred stock converted into shares of common stock on a one-for-one basis and had no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.