Trautmann Robert E Form 3 October 09, 2008

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement People's United Financial, Inc. [PBCT] À Trautmann Robert E (Month/Day/Year) 10/01/2008 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O PEOPLE'S UNITED (Check all applicable) BANK, 850 MAIN STREET (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Exec. VP and GC Person BRIDGEPORT, CTÂ 06604 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock  $17,986 \frac{(1)}{2}$ D Common Stock 315 (2) D Â Common Stock 483 (3) D 882 (4) Â Common Stock D Â Common Stock 12,100 (5) D Common Stock 4,392 (6) D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: Trautmann Robert E - Form 3

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Option to Buy	(7)	02/20/2013	Common Stock	2,128	\$ 5.3143	D	Â
Employee Option to Buy	(7)	02/19/2014	Common Stock	3,617	\$ 9.4466	D	Â
Employee Option to Buy	(8)	02/17/2015	Common Stock	4,190	\$ 12.0223	D	Â
Employee Option to Buy	(9)	02/16/2016	Common Stock	2,646	\$ 14.9119	D	Â
Employee Option to Buy	(10)	02/15/2017	Common Stock	1,785	\$ 21.6308	D	Â
Employee Option to Buy	(11)	10/25/2017	Common Stock	46,100	\$ 18.1	D	Â
Employee Option to Buy	(12)	02/21/2018	Common Stock	11,067	\$ 16.94	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Othe		
Trautmann Robert E						
C/O PEOPLE'S UNITED BANK	Â	â	Exec. VP and GC	â		
850 MAIN STREET	А	А	A Exec. Vr and OC	A		
BRIDGEPORT, CT 06604						

## **Signatures**

/s/ Robert E.
Trautmann

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 2,128 shares owned indirectly through the People's United Bank 401(k) Plan. Also includes 240 investment units accrued under the People's United Bank excess benefits plan and 574 shares owned indirectly through the People's United Financial, Inc. Employee Stock Ownership Plan. Information is based on 9/30/08 Plan statements.

Reporting Owners 2

#### Edgar Filing: Trautmann Robert E - Form 3

- (2) These are restricted shares that vest on 2/1/2009.
- (3) These are restricted shares that vest in annual increments on 2/1/2009 (50%) and 2/1/2010 (50%).
- (4) These are restricted shares that vest in annual increments on 2/1/2009 (50%); 2/1/2010 (25%); and 2/1/2011 (25%).
- These are restricted shares that vest in annual increments of 20% over the course of five years, beginning on the first anniversary of the date of the grant (10/25/07).
- (6) These are restricted shares that vest in annual increments on 2/1/2010 (50%); 2/1/2011 (25%); and 2/1/2012 (25%).
- (7) These options have vested in accordance with the vesting schedule set forth in the terms of the option grants.
- (8) Exercisable in annual increments on 2/1/2007 (50%); 2/1/2008 (25%); and 2/1/2009 (25%).
- (9) Exercisable in annual increments on 2/1/2008 (50%); 2/1/2009 (25%); and 2/1/2010 (25%).
- (10) Exercisable in annual increments on 2/1/2009 (50%); 2/1/2010 (25%); and 2/1/2011 (25%).
- (11) Exercisable in annual increments of 20% over the course of five years, beginning on the first anniversary of the date of the grant (10/25/07).
- (12) Exercisable in annual increments on 2/1/2010 (50%); 2/1/2011 (25%); and 2/1/2012 (25%).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.