SYNAPTICS INC

Form 4 January 10, 2007

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

Stock

Stock

Common

01/09/2007

1. Name and Address of Reporting Person \* LEE FRANCIS F

(Middle)

(First)

(Street)

3120 SCOTT BLVD., STE. 130

SANTA CLARA, CA 95054

2. Issuer Name and Ticker or Trading Symbol

SYNAPTICS INC [SYNA]

3. Date of Earliest Transaction (Month/Day/Year)

01/08/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below) President and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

30.99

\$31

34,660 (1)

D

D

(City)	(State)	(Zip) Tabl	e I - Non-E	Derivative (	Secur	ities Acqu	uired, Disposed o	f, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securiti r(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/08/2007		M	15,470	A	\$ 2.5	25,880 <u>(1)</u>	D	
Common Stock	01/08/2007		S(2)	15,470	D	\$ 30.99	10,410 (1)	D	
Common Stock	01/09/2007		M	34,530	A	\$ 2.5	44,940 (1)	D	
Common	01/09/2007		S(2)	10,000	D	\$	34,940 <u>(1)</u>	D	

280

 $S^{(2)}$ 

Edgar Filing: SYNAPTICS INC - Form 4

Common Stock	01/09/2007	S(2)	320	D	\$ 31.01	34,340 (1)	D	
Common Stock	01/09/2007	S(2)	500	D	\$ 31.02	33,840 (1)	D	
Common Stock	01/09/2007	S(2)	600	D	\$ 31.04	33,240 (1)	D	
Common Stock	01/09/2007	S(2)	100	D	\$ 31.05	33,140 (1)	D	
Common Stock	01/09/2007	S(2)	2,500	D	\$ 31.06	30,640 (1)	D	
Common Stock	01/09/2007	S(2)	500	D	\$ 31.07	30,140 (1)	D	
Common Stock	01/09/2007	S(2)	200	D	\$ 31.08	29,940 (1)	D	
Common Stock	01/09/2007	S(2)	14,300	D	\$ 31.1	15,640 (1)	D	
Common Stock	01/09/2007	S(2)	300	D	\$ 31.11	15,340 (1)	D	
Common Stock	01/09/2007	S(2)	400	D	\$ 31.13	14,940 (1)	D	
Common Stock	01/09/2007	S(2)	2,192	D	\$ 31.2	12,748 (1)	D	
Common Stock	01/09/2007	S(2)	600	D	\$ 31.21	12,148 (1)	D	
Common Stock	01/09/2007	S(2)	538	D	\$ 31.22	11,610 (1)	D	
Common Stock	01/09/2007	S(2)	1,100	D	\$ 31.24	10,510 (1)	D	
Common Stock	01/09/2007	S(2)	100	D	\$ 31.25	10,410 (1)	D	
Common Stock						22,502	I	By Trust (3)
Common Stock						88,749	I	By Trust (4)
Common Stock						88,749	I	By Trust (5)
Common Stock						4,000	I	As Custodian (6)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: SYNAPTICS INC - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Γ

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (Right to Buy)	\$ 2.5	01/08/2007		M	15,470	<u>(7)</u>	01/10/2010	Common Stock	15,470
Director Stock Option (Right to Buy)	\$ 2.5	01/09/2007		M	34,530	<u>(7)</u>	01/10/2010	Common Stock	34,530

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
<b></b>	Director	10% Owner	Officer	Other				
LEE FRANCIS F 3120 SCOTT BLVD., STE. 130 SANTA CLARA, CA 95054	X		President and CEO					

## **Signatures**

Francis F. Lee 01/09/2007

\*\*Signature of Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 592 shares acquired under the issuer's employee stock purchase plan in December 2006, which were inadvertently omitted from the total amount of securities beneficially owned following the reported transactions on the reporting person's prior Form 4.

Reporting Owners 3

#### Edgar Filing: SYNAPTICS INC - Form 4

- (2) The shares were sold pursuant to a 10b5-1 Sales Plan dated November 30, 2006.
- (3) The shares are held by Francis F. Lee and Evelyn C. Lee as Co-Trustees of the Lee 1999 Living Trust dated March 16, 1999.
- (4) The shares are held by Francis F. Lee, Trustee of the Francis Lee 2002 Irrevocable Trust.
- (5) The shares are held by Evelyn C. Lee, the reporting person's spouse, Trustee of the Evelyn Lee 2002 Irrevocable Trust.
- (6) The shares are held by the reporting person as custodian for his child.
- (7) 12,500 of the shares subject to the option vested and became exercisable on January 22, 2003, and 1/12th of the total number of shares subject to the option vested and became exercisable on the 22nd day of each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.