NEUBERGER BERMAN CALIFORNIA INTERMEDIATE MUNICIPAL FUND INC Form SC 13G/A August 08, 2014

### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### **SCHEDULE 13G**

Information to be included in Statements filed pursuant to Rules

13d-1(b), (c) and (d) and amendments thereto filed pursuant to

Rule 13d-2(b)

(Amendment No.1)

Neuberger Berman California Intermediate Municipal Fund

(Name of Issuer)

**Auction Preferred Stock** 

(Title of Class of Securities)

64123C200

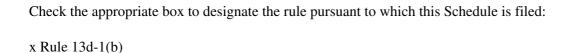
64123C309

(CUSIP Number(s))

July 1, 2014

(Date of Event That Requires Filing of this Statement)

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"Rule 13d-1(c)

"Rule 13d-1(d)

\* The remainder of this cover page shall be filled out for a reporting person s initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 ( Act ) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP NO(s).		

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Names of Reporting Persons

UBS AG, for the benefit and on behalf of UBS Securities LLC and UBS Financial Services Inc., two-wholly owned subsidiaries of UBS AG to which UBS AG has delegated portions of its performance obligations with respect to the Auction Rate Securities Rights issued by UBS AG to certain clients and pursuant to which the securities reported herein have been purchased from such clients.

Check the Appropriate Box if a Member of a Group

a " b "

- 3. SEC USE ONLY
- Citizenship or Place of Organization

Switzerland

5. Sole Voting Power

Number of

Shares

7.

6. **Shared Voting Power** 

Beneficially

Owned by

0\*\*

Each

Sole Dispositive Power

Reporting

Person

8. Shared Dispositive Power

With:

Aggregate Amount Beneficially Owned by Each Reporting Person:

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0\*\*

10. Check if the Aggregate Amount in Row 9 Excludes Certain Shares

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11. Percent of Class Represented by Amount in Row 9

0.00%\*\*\*

12. Type of Reporting Person

BK

- \*\* These amounts reflect UBS AG s combined holdings in the series of auction preferred stock of the issuer identified by the CUSIP number(s) set forth on the cover page of this Schedule 13G.
- \*\*\* This calculation reflects a fraction the numerator of which is the total set forth in Item 9 of this cover page and the denominator of which is the aggregate amount of auction preferred stock of all series identified in Item 2(e) of this Schedule 13G, which latter amount is treated herein as a single class of securities.

CUSIP NO(s).		Page 3 of [
Item 1(a)	Name of Issuer	
	Neuberger Berman California Intermediate Municipal Fund	
Item 1(b)	Address of Issuer s Principal Executive Offices:	
	605 3rd Ave, 2nd Floor	
	New York NY 10158-0180	
Item 2(a)	Name of Person Filing:	
	UBS AG	
Item 2(b)	Address of Principal Business Office:	
	UBS AG	
	Bahnhofstrasse 45	
	PO Box CH-8021	
	Zurich, Switzerland	
Item 2(c)	Citizenship or Place of Organization:	
	Switzerland	
Item 2(d)	Title of Class of Securities	
	Auction Preferred Stock	
Item 2(e)	CUSIP Number(s):	
	64123C200	

64123C309

This response lists the CUSIP numbers assigned to every series of auction preferred securities issued by the Issuer, and not redeemed as of January 31, 2009, including series in which UBS AG may not have an ownership position.

## Item 3. Type of Person Filing:

UBS AG is classified as a Bank as defined in section 3(a)(6) of the Securities Act or 1933 pursuant to no-action relief granted by the staff of the Securities and Exchange Commission.

CUSIP NO(s).	Page 4 of [
Item 4 (a)-(c)(iv).	Ownership:
	Items 5-11 of the cover page and Item 2(e) above are incorporated by reference in our response to this Item 4.
Item 5.	Ownership of Five Percent or Less of a Class:
	If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following. x
Item 6.	Ownership of More than Five Percent on Behalf of Another Person:
Item 7.	Not applicable Identification and Classification of the Subsidiary That Acquired the Security Being Reported on By the Parent Holding Company:
	This statement on Schedule 13G is being filed by UBS AG, for the benefit and on behalf of UBS Securities LLC and UBS Financial Services Inc., two wholly owned subsidiaries of UBS AG to which UBS AG has delegated portions of its performance obligations with respect to the Auction Rate Securities Rights issued by UBS AG to certain clients and pursuant to which the securities reported herein have been purchased from such clients
Item 8.	Identification and Classification of Members of the Group
Item 9	Not Applicable Notice of Dissolution of Group:
Item 10.	Not Applicable Certification:
	By signing below, the undersigned certify that, to the best of their knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and

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were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

CUSIP NO(s).	Page 5 of [ ]

## **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

By: /s/ Anthony DeFilippis Executive Director Central Compliance

By: /s/ William Chandler Managing Director Trading

Date: August 8, 2014