

Financial Engines, Inc.
Form 8-K
April 04, 2014

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): April 4, 2014

Financial Engines, Inc.

(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction

of incorporation)

1050 Enterprise Way, 3rd Floor, Sunnyvale, CA
(Address of principal executive offices)

Registrant's telephone number, including area code: (408) 498-6000

001-34636
(Commission

File Number)

94-3250323
(I.R.S. Employer

Identification No.)

94089
(Zip Code)

Former name or former address, if changed since last report

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers

On April 4, 2014 Financial Engines, Inc. (the Company) filed its proxy statement in connection with the 2014 Annual Meeting of Stockholders (the Proxy Statement). Mr. Garry Hallee, Executive Vice President, Technology and Service Delivery, is a named executive officer in the Proxy Statement. Mr. Hallee has announced his intention to leave the Company during 2014, and the Board of Directors of the Company has determined that he will no longer be a Section 16 executive officer of the Company for purposes of Section 16(b) of the Securities Exchange Act of 1934, as amended, as of May 1, 2014.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Financial Engines, Inc.

April 4, 2014

By: /s/ Anne Tuttle Cappel

Name: Anne Tuttle Cappel

*Title: Executive Vice President, General Counsel
and Secretary*