Financial Engines, Inc. Form 8-K February 20, 2014

#### **UNITED STATES**

#### SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, D.C. 20549** 

#### FORM 8-K

### **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): February 20, 2014

Financial Engines, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

**001-34636** (Commission

94-3250323 (I.R.S. Employer

of incorporation)

File Number)

**Identification No.)** 

## Edgar Filing: Financial Engines, Inc. - Form 8-K

1050 Enterprise Way, 3<sup>rd</sup> Floor, Sunnyvale, CA 94089
(Address of principal executive offices) (Zip Code)
Registrant s telephone number, including area code: (408) 498-6000

## Former name or former address, if changed since last report

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

### Item 2.02 Results of Operations and Financial Condition.

The information in this Current Report is being furnished and shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934 (the Exchange Act ), or otherwise subject to the liabilities of that Section. The information in this Current Report shall not be incorporated by reference in any filing under the Securities Act of 1933 or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing. On February 20, 2014, Financial Engines, Inc. (Financial Engines) issued a press release regarding Financial Engines financial results for its fourth quarter and full fiscal year ended December 31, 2013. The full text of Financial Engines press release is furnished herewith as Exhibit 99.1.

## Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

Exhibit

No. Description

99.1 Press Release dated February 20, 2014

# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Financial Engines, Inc.

February 20, 2014 By: /s/ Raymond J. Sims

Name: Raymond J. Sims

Title: Executive Vice President, Chief Financial

Officer and Chief Risk Officer

# Exhibit Index

# **Exhibit**

No. Description

99.1 Press Release dated February 20, 2014