Financial Engines, Inc. Form 8-K November 07, 2011

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, D.C. 20549** 

## FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): November 7, 2011

# Financial Engines, Inc.

(Exact name of registrant as specified in its charter)

**Delaware** (State or other jurisdiction

001-34636 (Commission 94-3250323 (I.R.S. Employer

of incorporation)

File Number)

Identification No.)

1804 Embarcadero Road,

94303

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# Palo Alto, California (Address of principal executive offices)

(Zip Code)

Registrant s telephone number, including area code: (650) 565-4900

## Not Applicable

Former name or former address, if changed since last report

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- "Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- "Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- "Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 2.02 Results of Operations and Financial Condition.

The information in this Current Report is being furnished and shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934 (the Exchange Act ), or otherwise subject to the liabilities of that Section. The information in this Current Report shall not be incorporated by reference in any filing under the Securities Act of 1933 or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing. On November 7, 2011, Financial Engines, Inc. (Financial Engines) issued a press release regarding Financial Engines financial results for its third quarter ended September 30, 2011. The full text of Financial Engines press release is furnished herewith as Exhibit 99.1.

#### Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

Exhibit No. Description

99.1 Press Release dated November 7, 2011

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Financial Engines, Inc.

November 7, 2011 By: /s/ Raymond J. Sims

Name: Raymond J. Sims

Title: Executive Vice President and Chief Financial Officer

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#### Exhibit Index

Exhibit No. Description

99.1 Press Release dated November 7, 2011