LUPP DAVID B Form 4 October 05, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Check this box

if no longer subject to

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * LUPP DAVID B

(First) (Last)

(Street)

10/04/2012

(Middle)

1801 ELMWOOD AVENUE

BUFFALO, NY 14207-2496

Common

Stock (1)

2. Issuer Name and Ticker or Trading

Symbol

MOD PAC CORP [MPAC]

3. Date of Earliest Transaction (Month/Day/Year)

10/05/2012

4. If Amendment, Date Original

Filed(Month/Day/Year)

OMB APPROVAL

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X_ Officer (give title Other (specify

below) below) CFO/COO

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

(D) or

Indirect (I)

(Instr. 4)

6. Ownership 7. Nature of

Beneficial

Ownership

(Instr. 4)

Form: Direct Indirect

Person

Securities

Beneficially

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed 3. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (Instr. 3) Code (Month/Day/Year)

(D) (Instr. 8)

4,926

Code V Amount

P

(Instr. 3, 4 and 5)

Owned Following (A) or

Price

(D)

4. Securities Acquired 5. Amount of

Reported Transaction(s) (Instr. 3 and 4)

19,106 4.06

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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8. Price of Derivative Security (Instr. 5)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option	\$ 11.73					03/08/2007	03/08/2016	\$.01 PV Com Stk	15,000
Option	\$ 10					12/13/2007	12/13/2016	\$.01 PV Com Stk	15,000
Option	\$ 7.36					12/20/2008	12/20/2017	\$.01 PV Com Stk	22,500
Option	\$ 1.85					06/15/2009	12/15/2018	\$.01 PV Com Stk	40,000
Option	\$ 4.37					06/16/2010	12/16/2019	\$.01 PV Com Stk	25,000
Option	\$ 4.65					06/21/2011	12/21/2020	\$.01 PV Com Stk	25,000
Option	\$ 5.9					06/02/2012	12/02/2021	\$.01 PV Com Stk	12,500

Reporting Owners

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			

Reporting Owners 2

LUPP DAVID B 1801 ELMWOOD AVENUE BUFFALO, NY 14207-2496

CFO/COO

Signatures

/s/ Daniel J. Geary, as Power of Attorney for David B. Lupp

10/05/2012

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired pursuant to Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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