

Oppenheim Joel Martin
Form 4/A
February 13, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person ☐
Oppenheim Joel Martin

(Last) (First) (Middle)

2035 SUL ROSS STREET

(Street)

HOUSTON, TX 77098

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Petrolia Energy Corp [BBL]

3. Date of Earliest Transaction
(Month/Day/Year)
09/12/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)
06/16/2017

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (2) (3) (4)	Price (D) (4)	
Common Stock	09/12/2016	09/12/2016 ⁽¹⁾	A		250,000 (2)	\$ 0.08	950,000 D
Common Stock	12/07/2016	12/07/2016	C		300,000 (3)	\$ 0.08	1,250,000 D
Common Stock	05/26/2017	06/02/2017	A		20,000 (4)	\$ 0.12	1,270,000 ⁽⁴⁾ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount
Warrant	\$ 0.08	12/07/2016	11/14/2016	C		300,000	(3)	08/18/2016	08/18/2019	Common Stock	3
Warrant	\$ 0.12	05/23/2017	05/23/2017	P		500,000	(5)	05/23/2017	05/23/2020	Common Stock	5
Warrant	\$ 0.2	05/26/2017	06/06/2017	P		270,000	(6)	06/06/2017	06/06/2020	Common Stock	2

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Oppenheim Joel Martin 2035 SUL ROSS STREET HOUSTON, TX 77098	X			

Signatures

/s/ Joel M
Oppenheim

02/13/2018

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The original Form 4 indicated an earliest transaction date of 06/06/2017, it should have been 09/12/16.
The original Form 4 filed on 06/16/2017 inadvertently omitted reporting the acquisition of Common Stock as compensation for the conversion of debt held by Mr. Oppenheim in a transaction approved by the board in September 2016 and was disclosed in the 2016 3Q 10-Q filing.
- (2) The original Form 4 filed on 06/16/2017 inadvertently omitted reporting the acquisition of Common Stock through the exercising of Warrants previously issued on 08/18/2016 as part of his 2016 Directors Compensation and was disclosed in the 2016 3Q 10-Q filing.
- (3) The original Form 4 filed on 06/16/2017 erroneously reported that the Amount of Securities Beneficially Owned following the as 37,590 common shares. The correct number is 1,270,000 common shares after the included transactions disclosed in this filing.
- (4) The original Form 4 filed on 06/16/2017 erroneously reported that the Amount of Securities Beneficially Owned following the as 770,000 warrants. The correct number is 2,267,500 warrants.
- (5) The original Form 4 filed on 06/16/2017 erroneously reported that the Amount of Securities Beneficially Owned following the as 270,000 warrants. The correct number is 2,537,500 warrants.

Remarks:

All other line items previously reported on the original Form 4 have been omitted from this Form 4/A as they have not changed.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.