Alphatec Holdings, Inc. Form 4 March 31, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

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response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

Security

(Instr. 3)

1. Name and Address of Reporting Person * **GLYNN JAMES R**

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

(Month/Day/Year)

02/25/2015

5. Relationship of Reporting Person(s) to Issuer

(Middle)

(Zip)

Execution Date, if

(Month/Day/Year)

Alphatec Holdings, Inc. [ATEC] 3. Date of Earliest Transaction

(Check all applicable) X Director

10% Owner Officer (give title Other (specify

C/O ALPHATEC HOLDINGS. INC., 5818 EL CAMINO REAL

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

below)

CARLSBAD, CA 92008

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed

(Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of Code (D)

(Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(Instr. 8)

(A) or Price

Transaction(s) (Instr. 3 and 4)

Reported

Restricted

Common 02/25/2015 Stock

Code V Amount (D) 33,334 Α

\$0 127,175

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisab Expiration Date (Month/Day/Year | | 7. Title and Amount o Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---|---|---|--------------------|--|------------------------------------|
| | | | | Code V | and 5) (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Share |
| NQ Stock Option | \$ 1.35 | 02/25/2015 | | A | 40,816 | 02/25/2016(2) | 02/25/2025 | Common Stock | 40,816 |

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other **GLYNN JAMES R** X

C/O ALPHATEC HOLDINGS, INC. 5818 EL CAMINO REAL CARLSBAD, CA 92008



Signatures

/s/ Ebun S. Garner, Esq., 03/30/2015 Attorney-in-fact

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise price per share.
- The options vest in three equal tranches with one-third of the shares vesting on the anniversary of the date of grant, and one-third vesting each anniversary thereafter.
- (3) The shares vest over one year, with 100% of the shares being vested in one year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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