

INTERNATIONAL BUSINESS MACHINES CORP  
 Form 4  
 May 05, 2014

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**KELLY JOHN E III**

2. Issuer Name and Ticker or Trading Symbol  
**INTERNATIONAL BUSINESS MACHINES CORP [IBM]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

**IBM CORPORATION, P.O. BOX 218**

**05/02/2014**

Senior Vice President

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

**YORKTOWN HEIGHTS, NY 10598**

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount  | (D)  | Price                             |
| Common Stock                    | 05/02/2014                           |  | S                              |   | 100   | D  | \$ 192.77                         |
|                                 |                                      |  |                                |   | 43,050.1955   | D  |                                   |
| Common Stock                    | 05/02/2014                           |  | S                              |   | 5   | D  | \$ 192.8                          |
|                                 |                                      |  |                                |   | 43,045.1955   | D  |                                   |
| Common Stock                    | 05/02/2014                           |  | S                              |   | 22  | D  | \$ 192.84                         |
|                                 |                                      |  |                                |   | 43,023.1955   | D  |                                   |
| Common Stock                    | 05/02/2014                           |  | S                              |   | 1,400   | D  | \$ 192.88                         |
|                                 |                                      |  |                                |   | 41,623.1955   | D  |                                   |
| Common Stock                    | 05/02/2014                           |  | S                              |   | 200   | D  | \$ 192.89                         |
|                                 |                                      |  |                                |   | 41,423.1955   | D  |                                   |

Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

|              |            |   |       |   |             |             |                  |       |
|--------------|------------|---|-------|---|-------------|-------------|------------------|-------|
| Common Stock | 05/02/2014 | S | 100   | D | \$ 192.9    | 41,323.1955 | D                |       |
| Common Stock | 05/02/2014 | S | 100   | D | \$ 192.9137 | 41,223.1955 | D                |       |
| Common Stock | 05/02/2014 | S | 100   | D | \$ 192.92   | 41,123.1955 | D                |       |
| Common Stock | 05/02/2014 | S | 100   | D | \$ 192.97   | 41,023.1955 | D                |       |
| Common Stock | 05/02/2014 | S | 100   | D | \$ 192.98   | 40,923.1955 | D                |       |
| Common Stock | 05/02/2014 | S | 100   | D | \$ 192.99   | 40,823.1955 | D                |       |
| Common Stock | 05/02/2014 | S | 400   | D | \$ 193.01   | 40,423.1955 | D                |       |
| Common Stock | 05/02/2014 | S | 915   | D | \$ 193.02   | 39,508.1955 | D                |       |
| Common Stock | 05/02/2014 | S | 100   | D | \$ 193.03   | 39,408.1955 | D                |       |
| Common Stock | 05/02/2014 | S | 100   | D | \$ 193.04   | 39,308.1955 | D                |       |
| Common Stock | 05/02/2014 | S | 5,800 | D | \$ 193.19   | 33,508.1955 | D                |       |
| Common Stock | 05/02/2014 | S | 100   | D | \$ 193.24   | 33,408.1955 | D                |       |
| Common Stock | 05/02/2014 | S | 400   | D | \$ 193.38   | 33,008.1955 | D                |       |
| Common Stock | 05/02/2014 | S | 2,200 | D | \$ 193.4    | 30,808.1955 | D                |       |
| Common Stock | 05/02/2014 | S | 400   | D | \$ 193.41   | 30,408.1955 | D                |       |
| Common Stock |            |   |       |   |             | 65          | I <sup>(1)</sup> | son   |
| Common Stock |            |   |       |   |             | 22,537.51   | I <sup>(1)</sup> | trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|  |  |                                      |  |                                |   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares                         |
|  |  |                                      |  |                                |   | Code   | V   | (A)  | (D)  |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                       |       |
|---|---------------|-----------|-----------------------|-------|
|   | Director      | 10% Owner | Officer               | Other |
| KELLY JOHN E III<br>IBM CORPORATION<br>P.O. BOX 218<br>YORKTOWN HEIGHTS, NY 10598 |               |           | Senior Vice President |       |

## Signatures

D. Cummins on behalf of J. E. Kelly III  
 05/05/2014  
 \*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of the securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

### Remarks:

Additional transactions will be shown on a subsequent Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.