Edgar Filing: PERRY ELLIS INTERNATIONAL INC - Form 4

PERRY ELLIS INTERNATIONAL INC

Form 4 April 17, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

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obligations

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Harriman Stephen Issuer Symbol PERRY ELLIS INTERNATIONAL (Check all applicable) INC [PERY] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X_ Officer (give title Other (specify (Month/Day/Year) below) **3000 NW 107TH AVENUE** 04/15/2014 President, Bottoms Division (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

MIAMI, FL 33172

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price 4,405 Common 04/15/2014 D D \$0 $26,792 \stackrel{(2)}{=}$ D

Stock

(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Person

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Options	\$ 4.63					03/18/2010	03/17/2019	Common Stock	15,000
Stock Appreciation Right	\$ 18.19					03/19/2013(3)	03/18/2019	Common Stock	12,120
Stock Appreciation Right	\$ 28.38					04/11/2012	04/10/2018	Common Stock	7,824
Stock Appreciation Right	\$ 24.93					04/19/2011	04/18/2017	Common Stock	9,551

Reporting Owners

Reporting Owner Name / Address			Relationships	
	D:	1007 0	Off.	Oth

Director 10% Owner Officer Other

Harriman Stephen

3000 NW 107TH AVENUE President, Bottoms Division

MIAMI, FL 33172

Signatures

/s/ Cory Shade by Power of

Attorney 04/16/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects the forfeiture of restricted stock awarded pursuant to a grant made in April 2011, which was forfeited on April 15, 2014, because the Company did not meet the applicable performance goals.
- (2) Includes (i) 9,960 shares of restricted stock, which vest in three equal annual installments commencing on April 30, 2014; and (ii)(A) 6,872 shares of restricted stock granted in March 2012, and (B) 9,960 shares of restricted stock granted in April 2013, which each vest up to 100% provided that certain performance goals have been achieved and the Reporting Person is still an employee of the Company as of the last day of fiscal 2015 and fiscal 2016, respectively. With respect to the grants set forth in (ii)(A) and (B), the Reporting Person may

Reporting Owners 2

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be entitled to additional restricted stock in the event the Company exceeds the performance goals.

(3) Of the shares subject to the stock appreciation right, 8,080 shares are fully vested. The remaining 4,040 shares shall vest and become exercisable on March 19, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.