### Edgar Filing: PERRY ELLIS INTERNATIONAL INC - Form 4

#### PERRY ELLIS INTERNATIONAL INC

Form 4

March 28, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction 1(b).

30(h) of the Investment Company Act of 1940

(Middle)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Harriman Stephen

(First)

(Street)

**3000 NW 107TH AVENUE** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

PERRY ELLIS INTERNATIONAL

INC [PERY]

(Check all applicable)

10% Owner

3. Date of Earliest Transaction

(Month/Day/Year)

\_X\_\_ Officer (give title \_ Other (specify below)

03/26/2013

President, Bottoms Division 6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**MIAMI, FL 33172** 

(Last)

(City)	(State)	(Zip) Table	e I - Non-E	Derivative	Secur	ities Acqui	ired, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(III3u. +)	
Stock	03/26/2013		D	7,700 (1)	D	\$ 0	16,291 <u>(2)</u>	D	
Common Stock	03/26/2013		A	2,507 (3)	A	\$0	18,798 (2)	D	
Common Stock	03/27/2013		S	2,041 (4)	D	\$ 18.213	16,757 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

### Edgar Filing: PERRY ELLIS INTERNATIONAL INC - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Appreciation Right	\$ 18.19					03/19/2013(5)	03/18/2019	Common Stock	12,120
Stock Appreciation Right	\$ 28.38					04/11/2012(6)	04/10/2018	Common Stock	7,824
Stock Options	\$ 4.63					03/18/2010	03/17/2019	Common Stock	23,959
Stock Appreciation Right	\$ 24.93					04/19/2011(7)	04/18/2017	Common Stock	9,551

# **Reporting Owners**

Reporting Owner Name / Address			Kelationships	
	ъ.	100 0	0.00	0.1

Director 10% Owner Officer Other

Relationshine

Harriman Stephen

3000 NW 107TH AVENUE President, Bottoms Division

MIAMI, FL 33172

### **Signatures**

/s/ Cory Shade by Power of

Attorney 03/28/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

#### Edgar Filing: PERRY ELLIS INTERNATIONAL INC - Form 4

- (1) Reflects the forfeiture of restricted stock awarded pursuant to a grant made in October 2008, which was forfeited on March 26, 2013, because the Company did not meet the applicable performance goals.
  - Includes (i) 4,405 shares of restricted stock granted in April 2011; and (ii) 6,872 shares of restricted stock granted in March 2012, which in all cases vest up to 100% on the date the Company files its Annual Report on Form 10-K for fiscal 2014 and fiscal 2015, respectively;
- (2) provided that Mr. Harriman is still an employee of the Company on such dates, and the Company has met certain performance criteria. With respect to the grants made in April 2011 and March 2012, Mr. Harriman may be entitled to up to an additional 50% of the restricted stock in the event the Company exceeds the performance goals.
- (3) Reflects additional shares awarded pursuant to a grant made in April 2010, which grant vested on March 26, 2013, because the Company exceeded the performance goals tied to the original grant.
- (4) Reflects shares sold to pay taxes associated with the vesting of restricted stock on March 26, 2013.
- Of the shares subject to the stock appreciation right, 4,040 shares are fully vested. The remainder of the shares shall vest and become exercisable in two equal installments of 4,040 shares on March 19, 2014 and March 19, 2015.
- Of the shares subject to the stock appreciation right, 2,608 shares are fully vested. The remainder of the shares shall vest and become exercisable in two equal installments of 2,608 shares on April 11, 2013 April 11, 2014.
- (7) Of the shares subject to the stock appreciation right, 6,367 shares are fully vested. The remaining 3,184 shares shall vest and become exercisable on April 19, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.