AUGUST CAPITAL III LP

Form 4

December 04, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * **AUGUST CAPITAL** MANAGEMENT III LLC

(Last)

(First)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

SPLUNK INC [SPLK]

3. Date of Earliest Transaction

(Month/Day/Year) 12/03/2012

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_X__ 10% Owner

__ Other (specify

2480 SAND HILL ROAD, SUITE 101,

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

below) 6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

Officer (give title

Form filed by One Reporting Person X Form filed by More than One Reporting

Person

MENLO PARK, CA 94025

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative Sec	urities	s Acqui	red, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities oner Disposed (Instr. 3, 4 and	of (D) ad 5) (A) or	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 5 and 1)		See
Common Stock	12/03/2012		J <u>(4)</u>	5,742,307	D	\$0	8,203,295	I	footnote (1)
Common Stock	12/03/2012		<u>J(5)</u>	305,919	A	\$0	305,919	I	See footnote (6)
Common Stock	12/03/2012		<u>J(5)</u>	640,045	A	\$0	640,045	I	See footnote (7)
Common Stock	12/03/2012		J <u>(5)</u>	395,442	A	\$0	395,442	I	See footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. etionNumber of Securiti Acquire (A) or Dispose of (D) (Instr. 3 4, and 5	(Month/Day. ve es d	ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)
				Code	V (A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships				
. 5		10% Owner	Officer	Other	
AUGUST CAPITAL MANAGEMENT III LLC 2480 SAND HILL ROAD, SUITE 101 MENLO PARK, CA 94025		X			
AUGUST CAPITAL III LP 2480 SAND HILL ROAD, SUITE 101 MENLO PARK, CA 94025		X			
AUGUST CAPITAL STRATEGIC PARTNERS III LP 2480 SAND HILL ROAD, SUITE 101 MENLO PARK, CA 94025		X			
AUGUST CAPITAL III FOUNDERS FUND LP 2480 SAND HILL ROAD, SUITE 101 MENLO PARK, CA 94025		X			
		X			

Reporting Owners 2

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JOHNSTON JOHN R 2480 SAND HILL ROAD, SUITE 101 MENLO PARK, CA 94025	
RAPPAPORT ANDREW 2480 SAND HILL ROAD, SUITE 101 MENLO PARK, CA 94025	X
MARQUARDT DAVID F 2480 SAND HILL ROAD, SUITE 101 MENLO PARK, CA 94025	X
August Capital Management V, L.L.C. C/O AUGUST CAPITAL 2480 SAND HILL ROAD MENLO PARK, CA 94025	X
August Capital V, L.P. C/O AUGUST CAPITAL 2480 SAND HILL ROAD MENLO PARK, CA 94025	X
August Capital Strategic Partners V, L.P. C/O AUGUST CAPITAL 2480 SAND HILL ROAD MENLO PARK, CA 94025	X
Signatures	
/s/ Steven Simonian, by power of attorney for August Cap	pital Management III, L.L.C.
**Signature of Reporting Perso	n

/s/ Steven Simonian, by power of attorney for August Capital Management III, L.L.C.	12/04/2012
**Signature of Reporting Person	Date
/s/ Steven Simonian, by power of attorney for August Capital Management III, L.L.C., the General Partner of August Capital III, L.P	12/04/2012
**Signature of Reporting Person	Date
/s/ Steven Simonian, by power of attorney for August Capital Management III, L.L.C., the General Partner of August Capital Strategic Partners III, L.P.	12/04/2012
**Signature of Reporting Person	Date
/s/ Steven Simonian, by power of attorney for August Capital Management III, L.L.C., the General Partner of August Capital III Founders Fund, L.P.	12/04/2012
**Signature of Reporting Person	Date
**Signature of Reporting Person /s/ Steven Simonian, by power of attorney for August Capital Management V, L.L.C.	Date 12/04/2012
/s/ Steven Simonian, by power of attorney for August Capital Management V, L.L.C.	12/04/2012
/s/ Steven Simonian, by power of attorney for August Capital Management V, L.L.C. **Signature of Reporting Person /s/ Steven Simonian, by power of attorney for August Capital Management V, L.L.C., the	12/04/2012 Date
/s/ Steven Simonian, by power of attorney for August Capital Management V, L.L.C. **Signature of Reporting Person /s/ Steven Simonian, by power of attorney for August Capital Management V, L.L.C., the General Partner of August Capital V, L.P.	12/04/2012 Date 12/04/2012
/s/ Steven Simonian, by power of attorney for August Capital Management V, L.L.C. **Signature of Reporting Person /s/ Steven Simonian, by power of attorney for August Capital Management V, L.L.C., the General Partner of August Capital V, L.P. **Signature of Reporting Person /s/ Steven Simonian, by power of attorney for August Capital Management V, L.L.C., the	12/04/2012 Date 12/04/2012 Date

Signatures 3

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**Signature of Reporting Person

/s/ Steven Simonian, by power of attorney for David F. Marquardt 12/04/2012

**Signature of Reporting Person

Date

Date

/s/ Steven Simonian, by power of attorney for Andrew S. Rappaport

12/04/2012

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Shares held of record by August Capital III, L.P. ("August III"), as nominee for August III (7,507,775 shares), August Capital Strategic Partners III, L.P. (136,831 shares)("August Strategic III"), August Capital III Founders Fund, L.P. (421,157 shares)("August III
- (1) Founders") and related individuals. August Capital Management III, L.L.C. ("ACM III"), the general partner of August III, August Strategic III and August III Founders, may be deemed to have sole voting and investment power over the reported shares of the Issuer's common stock. John R. Johnston, David F. Marquardt and Andrew S. Rappaport, as members of ACM III, share voting and investment power over the reported shares of the issuer's stock.
- Shares held of record by August Capital V, L.P. ("August V"), as nominee for August V (18,336 shares), August Capital Strategic

 Partners V, L.P. (156 shares) ("August Strategic V") and related individuals. August Capital Management V, L.L.C. ("ACM V"), the general partner of each of August V and August Strategic V, may be deemed to have sole voting and investment power over the reported shares of the Issuer's common stock.
- (3) Howard Hartenbaum, David M. Hornik, John R. Johnston, David F. Marquardt, Vivek Mehra and Andrew S. Rappaport, as members of ACM V, share voting and investment power over the reported shares of the issuer's stock.
- (4) Distributed pro rata by the partnership without additional consideration to its partners pursuant to the partnership agreement.
- (5) Distributed pro rata by ACM III without additional consideration to its members and assignees pursuant to the operating agreement.
- (6) Shares held by John R. Johnston.
- (7) Shares held by David F. Marquardt.
- (8) Shares held by Andrew S. Rappaport.

Remarks:

Each Reporting Person disclaims the existence of a "group" and disclaims beneficial ownership of any securities (except to the Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.