Ryan Patrick Form 3 July 05, 2011

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Ryan Patrick

(Last)

(First) (Middle)

Statement

(Month/Day/Year)

04/25/2011

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Alphatec Holdings, Inc. [ATEC]

C/O ALPHATEC SPINE.

INC., Â 5818 EL CAMINO REAL

(Street)

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

(Check all applicable)

Director

10% Owner

\_X\_\_ Officer Other (give title below) (specify below) Chief Operating Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

5. If Amendment, Date Original

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

CARLSBAD, CA, CAÂ 92008

(City) (State) (Zip)

#### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form:

Direct (D) or Indirect (Instr. 5)

(I) (Instr. 5)

Restricted Common Stock

 $100,000^{(1)}$ 

Â D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Derivative Security:

### Edgar Filing: Ryan Patrick - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Incentive Stock Options	04/25/2012(2)	04/25/2021	Common Stock	250,000	\$ 3.06	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<u>.</u>	Director	10% Owner	Officer	Other		
Ryan Patrick						
C/O ALPHATEC SPINE, INC.	â	â	Chief Operating Officer	â		
5818 EL CAMINO REAL	А	A	A Chief Operating Officer	А		
CARLSBAD, CA, CA 92008						

## **Signatures**

/s/ Ebun S. Garner, Esq., 07/05/2011 Attorney-in-fact

### **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) The common stock consists of restricted common stock. Twenty five percent of such shares vest to the owner on the anniversary of the date of issuance.
- (2) The options vest over four years with 25% vesting on the annniversary of grant, and the remaining 75% vesting over the following three years in equal tranches each three months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2