

Gaudette Renae M.
Form 3
December 14, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Gaudette Renae M.

(Last) (First) (Middle)

605 HIGHWAY 169 N. SUITE
400

(Street)

MINNEAPOLIS,Â MNÂ 55441

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

12/11/2018

3. Issuer Name **and** Ticker or Trading Symbol
WINMARK CORP [WINA]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Vice President of Franchising

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

6,225

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (Right-to-Buy)	06/01/2012 ⁽¹⁾	06/01/2021	Common Stock	450	\$ 53.34	D	Â
Employee Stock Option (Right-to-Buy)	06/01/2013 ⁽¹⁾	06/01/2022	Common Stock	1,250	\$ 51.17	D	Â
Employee Stock Option (Right-to-Buy)	12/13/2013 ⁽¹⁾	12/13/2022	Common Stock	1,250	\$ 55.72	D	Â
Employee Stock Option (Right-to-Buy)	06/01/2014 ⁽¹⁾	06/01/2023	Common Stock	1,250	\$ 59.77	D	Â
Employee Stock Option (Right-to-Buy)	12/16/2014 ⁽¹⁾	12/16/2023	Common Stock	1,250	\$ 82.72	D	Â
Employee Stock Option (Right-to-Buy)	06/01/2015 ⁽¹⁾	06/01/2024	Common Stock	3,000	\$ 66.29	D	Â
Employee Stock Option (Right-to-Buy)	12/15/2015 ⁽¹⁾	12/15/2024	Common Stock	3,000	\$ 80.32	D	Â
Employee Stock Option (Right-to-Buy)	06/01/2016 ⁽¹⁾	06/01/2025	Common Stock	2,500	\$ 91.93	D	Â
Employee Stock Option (Right-to-Buy)	12/14/2016 ⁽¹⁾	12/14/2025	Common Stock	2,500	\$ 90.99	D	Â
Employee Stock Option (Right-to-Buy)	06/01/2017 ⁽¹⁾	06/01/2026	Common Stock	2,500	\$ 98.25	D	Â
Employee Stock Option (Right-to-Buy)	12/12/2017 ⁽¹⁾	12/12/2026	Common Stock	2,500	\$ 125.5	D	Â
Employee Stock Option (Right-to-Buy)	06/01/2018 ⁽¹⁾	06/01/2027	Common Stock	2,500	\$ 122.5	D	Â
Employee Stock Option (Right-to-Buy)	12/11/2018 ⁽¹⁾	12/11/2027	Common Stock	2,500	\$ 134.25	D	Â
Employee Stock Option (Right-to-Buy)	06/01/2019 ⁽¹⁾	06/01/2028	Common Stock	3,000	\$ 143.2	D	Â
Employee Stock Option (Right-to-Buy)	12/11/2019 ⁽¹⁾	12/11/2028	Common Stock	3,000	\$ 156	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				Other
	Director	10% Owner	Officer		
Gaudette Renae M. 605 HIGHWAY 169 N. SUITE 400 MINNEAPOLIS, MN 55441	Â	Â	Â Vice President of Franchising		Â

Signatures

Renae M.
Gaudette

12/11/2018

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vests 25% per year for four years

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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